

45 **Abstract:**

46 In China, about 4 million waste pickers make their living by collecting the MSW recyclable materials
47 for their own use or sale to higher-level traders and buy-back centers, which is subjected to social
48 security and public health risks, as well as to scorn of general public. In this study, an extensive social
49 survey, which covered urban management decision-makers, recycling industrial circle insiders, formal
50 and informal waste pickers, as well as respondents from common citizens, has been conducted in
51 Nanjing city, China. The results confirmed that 70-80% recyclable materials of MSW were collected by
52 waste pickers in the informal sector, which became the front-end and integral component of waste
53 recycling system. In Nanjing, the recyclable material collected annually by waste pickers is about
54 505,000 tons, which creates annual economic value of about 78.6-84.7 million USD. However, waste
55 pickers account for only 6.8-7.3% of the entire industrial chain of recycling economy. In Nanjing,
56 waste pickers are able to save annual MSW disposal cost of about 17.6-22.0 million USD. The
57 resources' recovery rate is also increased by 1.9-8.0%. The survey results support the expedience of
58 establishing a community-based semi-official picker organizational framework, accompanied with
59 relevant laws, regulations and preferential policies that would improve resources' recovery rate and
60 pickers' living and work conditions, in order to achieve more effective and hazard-free MSW
61 resourceization. It is anticipated that the results of this research would be instrumental for the
62 improvement of MSW recycling system and waste picker management in other cities in China and
63 other developing countries.

64 **Keywords:** waste picker; garbage classification; municipal solid waste; resource utilization; urban
65 management

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89 **1. Introduction**

90 With the largest urban population in the world, China has approximately 0.7 billion people living
91 in cities [1]. The rapid growth of economy and the continuous improvement of urbanization level led to
92 the rise of MSW. In 2015, the total amount of urban waste has exceeded 3 million tons [2]. However,
93 the MSW disposal was left outside the top-priority area of urban management, and limited financial
94 resources of the most Chinese cities were preferentially applied to infrastructure and public facilities,
95 including public transport, electricity and water supply, etc., so the current urban management
96 decision-makers have to face the consequences of this short-sighted policy [3]. A failure of the
97 collection, disposal, and recycling system of MSW to keep in pace with the increasing MSW volume is
98 vividly illustrated by nearly a thousand different-sized “garbage hills” covering an area of 5.33 million
99 m² at the outskirts of China’s capital, Beijing [4]. This “garbage siege” phenomenon is common for
100 other developing countries, beside China [5-7]. Meanwhile, the developed countries attach great
101 importance to the CO₂ emission reduction by the MSW recycling, since MSW figures in the list of
102 urban greenhouse gases [8-10]. Waste classification and separation being the critical link in the
103 recycling system, the European Union, Australia, and some developing countries have implemented a
104 strict waste classification system to make sure that most recyclable resources are separated from
105 household waste, which greatly simplifies waste disposal [11]. The Chinese government has also tried
106 to tackle problems posed by MSW and launched waste source separation programs in eight pilot cities
107 in 2000. Unfortunately, all of these pilot programs have experienced very slow progress, and no
108 effective source-separated collection system has yet been established in China. In 2012, a so-called
109 Green House program was established in Beijing as the first formal waste central sorting program for a
110 community in China with a recorded daily waste separation data. However, at present, this program is
111 reported to be running with huge financial losses, because its benefits from waste reduction are
112 distributed among the whole society as positive environmental externalities, while in the absence of
113 mandatory measures for enforcing residents to source separate wastes, the Beijing residents avoid
114 implementation of the Green House program, since it is not supported by the central government [12].
115 This can be attributed not only to the living habits and weak consciousness of environmental protection
116 of Chinese urban residents, but also to the defective design of China’s current waste management
117 system [13, 14]. On the other hand, this drawback creates favorable conditions for the marginalized
118 special group of waste pickers. In China, the population of this group is about 4 million [15]. Although
119 their waste-picking activities and modus operandi are mostly disapproved by the society, they play an
120 important role in MSW collection, sorting, and recycling. The ideas of scientifically justified
121 management, work co-ordination and cost-effective guidance of this large group of informal MSW
122 recycling helpers float in the air, but cannot yet be grasped by the Chinese urban decision-makers.
123 Their realization requires a multi-factor comprehensive approach, since beside the survival problem of
124 a large low-income group and recycling of solid waste, other aspects have to tackled, including public
125 health, social security, etc. So far, recent efforts made in such cities of China, as Beijing, Hangzhou,
126 and Xiamen, to implement the innovative modes of “government direct management”, “assistant
127 management-corporatization”, or “marketization” of waste picker activities yielded no satisfactory
128 results yet [16-18].

129 In view of the above topical issues, a social survey of the management decision makers, recycling
130 traders, residents, and waste pickers has been designed and conducted in the city of Nanjing, China.
131 The current MSW management flowchart and socio-economic profile of waste pickers were elaborated
132 and analyzed. The economic benefit of picker-related informal sector in the MSW recycling was

133 estimated. Besides, a feasible community-based integrated management mode was established, which
134 can improve the standardized management of MSW recycling, increase garbage recovery rate and the
135 income of picker groups. This experience can provide a useful reference to the other cities in China and
136 other developing countries.

137 **2. Current debates of waste pickers in China**

138 According to Hayami et al., waste pickers, which are also referred to as garbage pickers, recyclers,
139 reclaimers, scavengers and waste salvagers, are small-scale, self-employed agents, which constitute the
140 bottom tier of the urban informal sector [19, 20]. Socio-economic studies of waste pickers show some
141 differences in their classification and stratification in the informal sector in different countries [21-23].
142 In China, this term is used in a broader sense, being applied not only to street waste pickers, who
143 collect the recyclables and useful waste from public places and trash cans, or those who exert the same
144 activities at informal garbage dumps, but also to higher-level waste traders, such as junkmen or street
145 hawkers, who buy recyclables from urban inhabitants for reselling, or even to municipal street
146 cleaners/garbage collectors [24]. The dominating attitude of Chinese people to informal waste pickers
147 is negative or prejudiced, which can be attributed to the following four basic factors. Firstly,
148 self-employed waste pickers have no official status or organization that would manage and control their
149 activities within the legal field, which make them hazardous for the social security. Moreover, most
150 street waste pickers have no fixed abode and, thus, fall into the homeless or vagabond risk group,
151 which is infiltrated with various lawbreakers and wanted criminals hiding from the authorities. This
152 invokes the misgiving that waste collection goes hand in glove with criminal activities by the motto:
153 “take an easy job: pick up waste, steal, or rob”. This law-breaking reputation is emphasized by the
154 China national family planning policy violation, which is widespread among waste pickers [25].
155 Secondly, this occupation is associated with poverty and shabby-dressed annoying characters, whose
156 appearance deteriorates the city or town image. Indeed, most waste pickers live in poverty and have a
157 weak standing in the aspects of housing, medical care, education, etc., which deteriorates the living
158 habits, educational level, and outlook of their next generations. Thus, a large urban marginalized group
159 of waste pickers not only deepens the gap between the wealthy and poor, but also invokes the
160 “ghetto-style” aggressive behavior patterns like “be shabby in dress” and “rip open garbage bags to
161 find more useful waste”, which are also detrimental to urban socialization and environmental health
162 [26]. The third accusation point is that waste pickers are hazardous for the urban public health, since
163 most of them violate sanitary norms due to the lack of basic medical and epidemic prevention
164 conditions, while their daily contact with garbage makes them prone to germ infections. Moreover,
165 some of them pick up garbage in the places with a high disease infection rate, including hospitals and
166 epidemic prevention stations, without realizing the related hazards. Due to high operation fluidity of
167 pickers, their possible infections are easily spread among larger urban groups, thus jeopardizing the
168 urban public health [27]. Finally, waste pickers are disapproved for being indirect accomplices to
169 counterfeiters, i.e., illegal manufacturers of fake goods, by re-selling them packaging or glassware of
170 famous brand products found in the process of garbage collection and disposal, in order to maximize
171 their economic benefits [28]. However, waste pickers in China constitute a huge group of more than 4
172 million people and their livelihood concerns to the stability and inclusive development of Chinese
173 society [15, 29]. In China, some cities have adopted strict rules regulating the incorporation or
174 differentiation of waste picker groups, but the results obtained were quite depressing [17, 18, 30]. The
175 emergence of informal waste pickers’ groups can be treated as an inevitable by-product of a certain
176 stage of the socio-economic development. In particular, in China, a large urban-rural economic gap is

177 observed for the available dual system. The income of pickers is usually higher than that people
178 involved in rural farming, due the lack of arable land per capita, which is less than 0.10 hm² [31]. This
179 fact accounts for a massive overflow of surplus rural labor and their migration to cities. At present,
180 there are more than 0.6 billion rural inhabitants China [32], while the lack of necessary job skills of the
181 most surplus rural labor migrants limits their opportunities to find a formal employment in the city and
182 make them potential waste pickers. Finally, the MSW management system of all developing countries
183 is seriously lagging behind the urban development, China being no exception. Therefore, the MSW
184 classification and recycling entirely depend on the municipal government financial resources, while no
185 MSW management services adapted to the market economy have obtained the required support or
186 manifested their viability or/and economic feasibility yet. Meanwhile, by the year of 2000, the number
187 of formal waste junk shops in Beijing was less than 200, as compared to 2000 in1965, the most
188 reduction being experienced after 1980 [16]. This left a huge survival space for informal waste pickers
189 and also reflected the evolution of their contribution to MSW. The socio-economic studies on waste
190 pickers in India, Brazil, and South Africa have established their role in the MSW informal economy,
191 while only few publications have been dedicated to the social contribution of waste pickers in China
192 [19-21, 30, 33, 34]. In fact, average picker sorts and utilizes about 40-50 kg recyclable waste on daily
193 basis, thus alleviating the problem of deficient disposing capacities of the formal MSW utilities. In
194 Beijing only, 100 thousand waste pickers are able to dispose about 1.5-2 million tons of waste annually,
195 which amounts to 20% of the total city's MSW. Not only the waste disposal fee of 300-500 million
196 CNY (43.5-75.5 million USD) can be saved every year, the recovery value of about 10 billion CNY
197 (145 million USD) can also be created, which makes waste pickers "the most prominent actors of the
198 Chinese resource recycling", according to [28, 33]. Therefore, the decision-makers of urban
199 management policy cannot ignore anymore the indispensable effect of the marginalized group of waste
200 pickers on the MSW disposal, their deprived standing in the current resource-recycling industry, and a
201 promising potential in the future one.

202 **3. Methodology and data collection**

203 **3.1 Study area**

204 Nanjing city is the capital of Jiangsu Province, which is located in Yangtze River Delta area and
205 the center of east China at 31°14'~32°37' N, 118°22'~119°14' E. In 2016, the total population of the city
206 was 8.2 million, including the official urban population of 6.7 million. The urban built-up area is 923.8
207 km², and the per capita GDP is 19.0 thousand dollars. In the current economy of the city, service
208 industries are dominating, accounting for about 60 percent of the GDP of the city, and financial
209 industry, culture industry and tourism industry are top three of them. Industries of information
210 technology, energy saving and environmental protection, new energy, smart power grid and intelligent
211 equipment manufacturing have become pillar industries. The MSW generation of Nanjing in 2016
212 amounted to 3,139 million tons (Figure 1a) along with growth of urban population and economy
213 (Figure 1b). The single disposal method used in Nanjing leads to a low incinerating disposal rate of less
214 than 10%, and most MSW is treated as landfill waste [35]. At present, there are five formal refuse
215 landfills in Nanjing. Multiple historically formed "garbage hills" exist in three districts (Pukou,
216 Jiangning, and Xixia), and the stock waste is about 20 million tons [36]. This opens work opportunities
217 for informal waste pickers, whose number in 2015 was estimated about 31 thousand persons, according
218 to the results of 1% census of the Nanjing Statistical Bureau and Civil Affairs Bureau [37].
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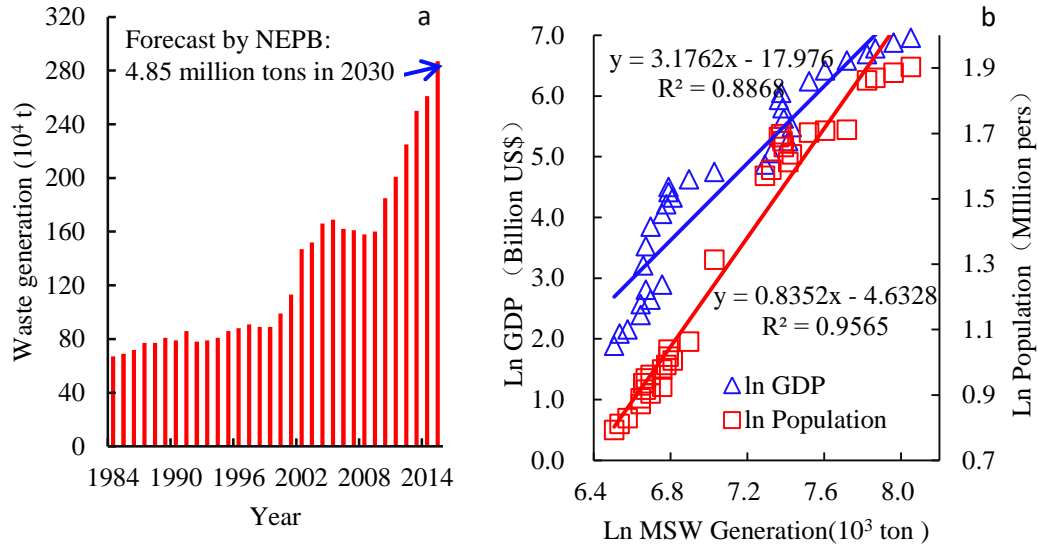


Fig. 1. Evolution of MSW generation in Nanjing in 1984-2016 (a) and MSW correlation with GDP and urban population (b)

Table 1. The municipal solid waste composition in Nanjing City, China (%)

Waste classification	Food	Wood	Paper and cardboard	Plastic	Textile	Glass	Metals	Fine	Stones and brick	Total
Wet weight fraction (%)	46.2	2.3	12.6	7.2	6.4	1.6	1.1	21.7	3.2	100
Moisture content (%)	64.2	44.3	27.8	34.2	37.2	7.8	6.4	14.3	9.4	40.4
Dry weight fraction (%)	16.5	1.3	9.1	4.7	4.0	1.5	1.0	18.6	2.9	59.6

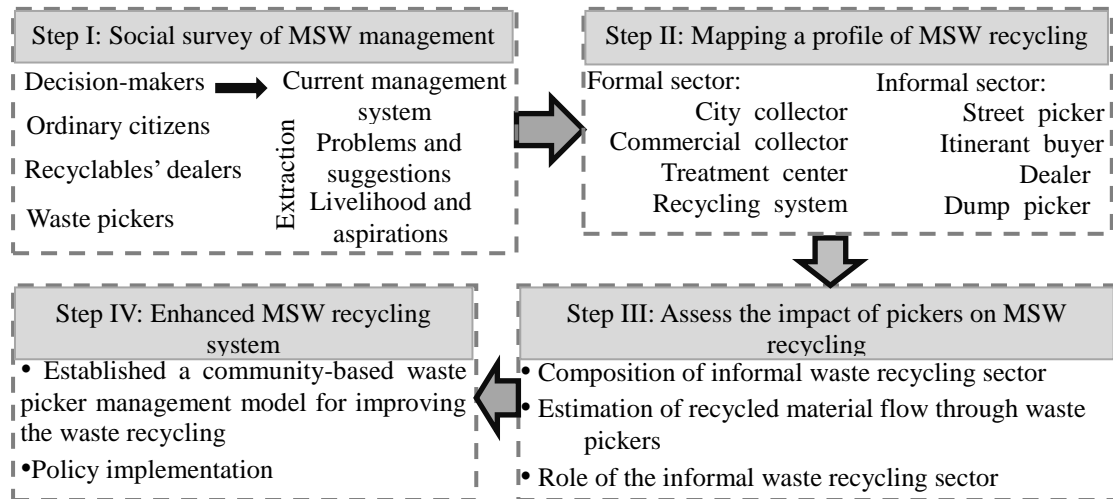


Fig. 2. Research framework for waste recycling through pickers in this study

3.2 Methodology

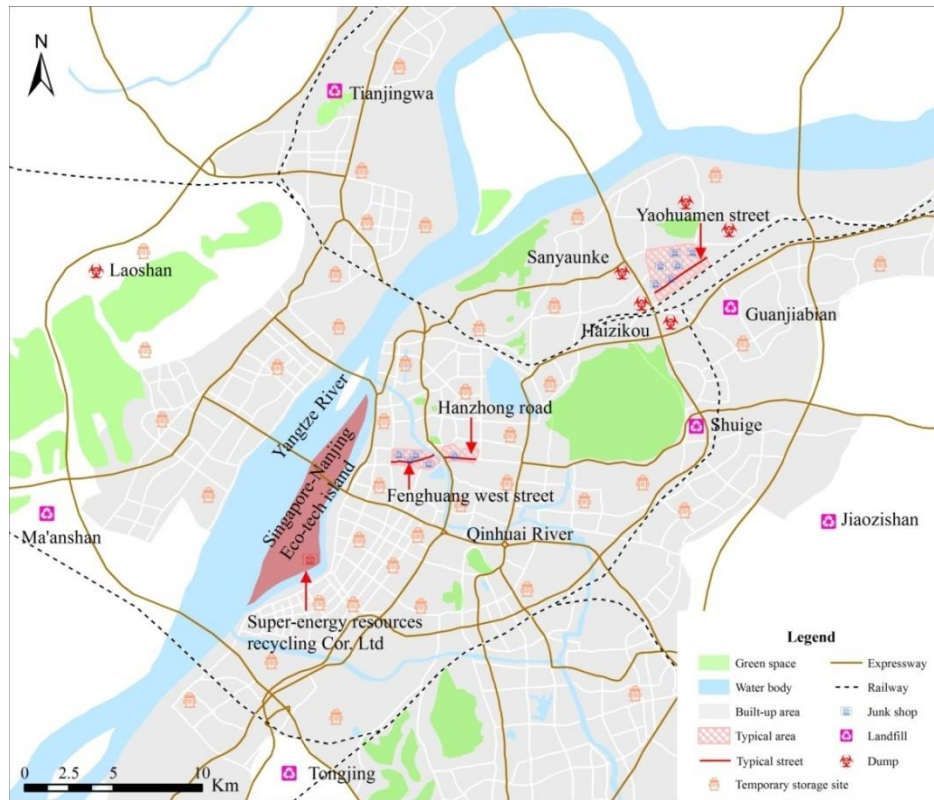
The informal economy sector of MSW in China is hard to be assessed via the official statistical reports and requires a special investigation. Since the prices of useful recycling waste are not regulated, but fully market-oriented, there is hardly any cross-regional sale among the recycling waste recycled by

233 pickers [19]. Therefore, through pickers' informal waste recycling, the waste eventually flows into the
 234 recycling industrial system of the formal economy sector. In order to clarify the input of waste pickers
 235 into the waste recycling system, a qualitative and quantitative research framework was developed
 236 (Figure 2). There are four steps in this research framework, containing the field investigation as an
 237 indispensable part, which was designed to reveal the disadvantages of the current MSW management
 238 and the pickers' work/living conditions. The remaining three steps included the qualitative and
 239 quantitative analyses of the data obtained from the field investigation.

240 The economic value obtained from the pickers' informal waste recycling sector can be assessed by
 241 the recycled material flow analysis (MFA), which allows one to compensate the neglect or little support
 242 of government statistics department of the informal economic activities [38]. The above analysis
 243 provides a systematic evaluation of low and storage of the recycled material under study in a specific
 244 place and time, which is related to the informal waste recycling sector of the MSW disposal system
 245 [39]. The cost benefit analysis (CBA) in this research was based on the recycled material flow model,
 246 and the following equation was used to estimate the economic value of the informal waste recycling
 247 sector related to waste pickers.

$$248 \quad Y = \sum_{i=1}^n m_{i,x} \cdot p_i \quad (1)$$

249 where Y is the economic value of the informal waste recycling sector relevant to waste pickers, $m_{i,x}$ is
 250 the amount of recycled materials collected by different pickers, i represents the types of materials, such
 251 as iron, copper, paper, plastics, glass, etc., x represents pickers of different collection routes, such as
 252 street pickers, itinerant buyers, dump scavengers, and municipal cleaners, while p_i is the price of material
 253 of type i .



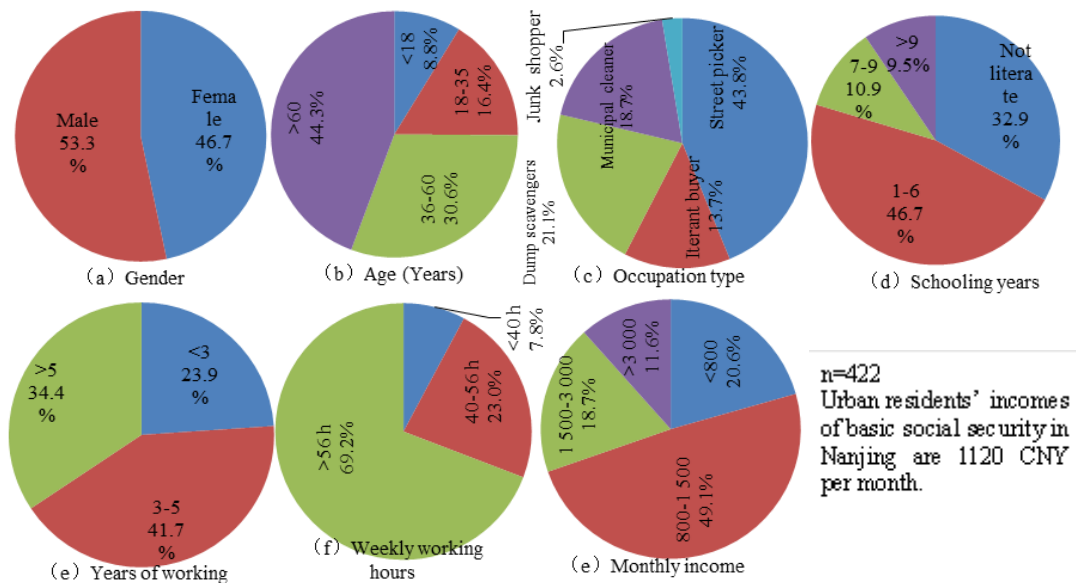
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Fig. 3. The city districts covered by the field investigation in this research

256 **3.3 Data collection**

257 Pickers are considered to be “hard-to-reach” research objects due to their work properties [40].
 258 Some pickers do not have definite residence, wandering around the city during the day to collect waste,
 259 and their work completely depends on the availability of waste [41]. Therefore, it is quite problematic
 260 to ensure that their sampled data probability would satisfy the normal distribution. Similar to the
 261 research of Blanche et al. (2007), a snowball sampling as a type of non-probability sampling technique
 262 was applied in this study for data collection of pickers [42]. Because of pickers’ low level of education,
 263 a face-to-face interview-type survey was used to overcome the defects of questionnaire survey.
 264 Thirteen interviewers were selected and recruited among graduate students with a social survey
 265 experience for participation in the field investigation. Within framework of this research, three typical
 266 city blocks of Nanjing and one specific high-tech location with independently operating MSW
 267 management system were repeatedly (three times) investigated in April, June, and October of 2016. In
 268 Figure 3, the investigated blocks are indicated by red arrows and include: (i) Fenghuang West Street
 269 with residential area nearby, (ii) Hanzhong Road, which is adjacent to the central business district
 270 (CBD), (iii) Yaohuamen Street with suburban areas surrounded by landfill, dump, and shanty town, and
 271 (iv) the Singapore-Nanjing ecological technology island with an independently operated MSW
 272 management system. The latter study area was used as a control group with a zero informal
 273 waste-picking input to MSW recycling.
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275 **Fig. 4.** Socio-economic data on 422 waste pickers interviewed in Nanjing

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 278 All the ethical norms were strictly followed in this research. The socio-economic characteristics of
 279 the surveyed waste picker group containing 422 interviewed persons are depicted in Figure 4, including
 280 their break-down by gender (53.3% male and 46.7% female), age (44.3% over 60, 8.8% below 18,
 281 etc.), education level (over 32% being illiterate), etc. Noteworthy is Figure 4c with the breakdown by
 282 waste-related occupation types: 43.8% of interviewed persons were street pickers, 21.15% were dump
 283 scavengers, 18.7% were municipal cleaners, 13.7% were iterant buyers, the remaining 2.65% being
 284 junk shoppers.

285 In addition to the above pickers’ group of 422 persons, the Nanjing MSW management

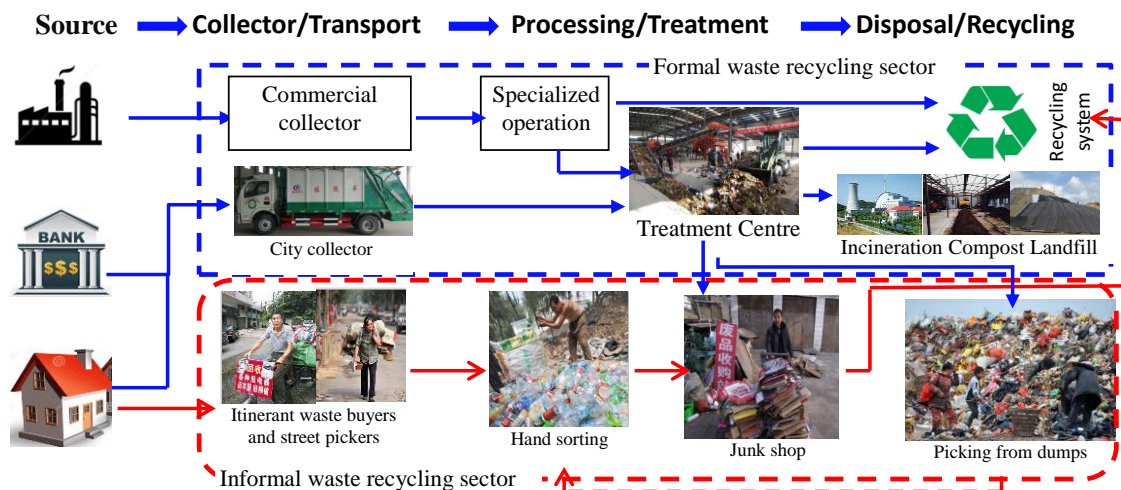
286 organization, picker management organization, and some related people of waste recycling industry
 287 were interviewed by the survey team, and a random interview-type survey of 80 ordinary citizens was
 288 performed in the streets and squares of the above locations, where their opinions and suggestions on the
 289 MSW management optimization were collected. In order to estimate the waste pickers' input into
 290 MSW recycling, from June to October, 2016, the investigators were stationed in 11 junk shops of
 291 Nanjing (one week per shop), wherein the source, category, and quantity of recycling waste were
 292 recorded. All the analyses were executed using the SPSS19.0 software, and the significance level was
 293 set as 1%.

294 4. Results and discussion

295 4.1 Mapping a socio-economic profile of MSW recycling in Nanjing, China

296 According to the interview-type survey of the decision-makers of MSW management, personnel
 297 of waste recycling industry, citizens, and pickers, a socio-economic profile of MSW recycling was
 298 constructed (Figure 5), which outlines both sectors: formal and informal ones. In the formal waste
 299 recycling sector, the municipal treatment system is a complete industrial chain, from collection to
 300 decomposition/dispose to dispose/recycling, and there are some professional waste recycling
 301 companies to match. The informal one, which mainly includes pickers, some higher-level traders and
 302 buy-back centers (small junk shops), is unable to constitute a complete industrial chain and, instead,
 303 deals with two links of this chain, including collection and simple classification/sorting of waste. In
 304 fact, some municipal cleaners also pick recyclables from streets or waste transfer stations, and then sell
 305 to junk shops. The recyclable waste recycled by these junk shops would eventually flow to the formal
 306 waste recycling sector. Thus, the informal waste recycling sector is a quite instrumental add-on
 307 complement to the formal one, which not only relieves the disposal pressure of MSW but also enhances
 308 the economic value of recyclable waste in the MSW management system. However, the informal waste
 309 recycling sector through pickers exhibits a purely market-oriented economic behavior, so that only the
 310 most economically lucrative recyclables, leaving the “leftover” to the municipal cleaners and the
 311 formal MSW treatment system.

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314 **Fig. 5.** Formal and informal MSW recycling sectors in Nanjing, China

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316 4.2 Estimation of the economic value of the informal waste recycling sector through pickers

317 Table 2 shows the amount of recyclable materials collected in the informal waste recycling sector

318 through pickers in Nanjing. Each street picker, municipal cleaner, or dump scavenger collect from 28.1
 319 to 50.0 kg of recyclable materials on daily basis. However, the economic value of recyclable materials
 320 picked by the first two types of waste collectors is obviously higher than that of a dump scavenger
 321 (metal, paper, and packing materials). Itinerant buyers shuttle between the CBD and residential
 322 community and acquire the recyclable materials with the highest economic value. However, itinerant
 323 buyers need more capital and social skills than other waste pickers. This trend is reflected in the new
 324 motto of Chinese garbage collectors: “Rather than digging garbage in dumps, you better pick it in the
 325 streets, but picking it is not as good as just buying and re-selling it”. Thus, itinerant buyers are at the
 326 top of the informal MSW-fed chain, since they deal with the most profitable recyclables, in contrast to
 327 dump scavengers, who are the lowest link in this chain. The interview-type survey of pickers has
 328 revealed their social relations are reduced to their relatives, colleagues, and former fellow-townsmen or
 329 fellow-villagers. After settling down in a certain city area, they seldom move elsewhere, which is
 330 completely different from the picker groups of the other countries. Most pickers sell recyclable
 331 materials once a week. Because of the transparent price, heavy weight, and relatively low economic
 332 value of recyclable materials, no cross-regional sales are provided, since waste transportation costs
 333 make them unprofitable. Instead, the recyclable materials are usually sold to familiar junk shops based
 334 on the long-term cooperation ties. In the three typical blocks, which have been surveyed, the amount of
 335 recyclable materials collected by waste pickers and junk shoppers (Table 3) exhibited a significant
 336 correlation at 0.01 level, the Pearson correlation coefficient being equal to 0.9943, which validates the
 337 reliability of the above results.

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339 **Table 2.** Break-down of collected recycling materials monitored in the field survey of waste pickers in
 340 Nanjing

Waste break-down	Itinerant buyers	Street picker	Dump scavenger	Municipal cleaner	Junk shopper	
Sample size	58	185	89	79	11	
Source generation	Households and small business	Streets and public facilities	dump	Streets and temporary storage site	all waste pickers	
Recyclable materials	Paper and cardboard (kg d ⁻¹)	65.4±19.3	16.9±5.8	14.4±4.5	16.3±5.2	843.8±192.4
	Metal (kg d ⁻¹)	6.5±1.8	1.7±1.1	0.9±0.5	1.3±0.5	63.5±31.6
	Plastic (kg d ⁻¹)	15.7±5.7	11.6±4.3	10.4±2.9	12.2±3.9	426.7±109.3
	Grass (kg d ⁻¹)	4.4±2.9	3.1±2.0	2.9±1.2	2.3±1.5	108.8±30.5
	Woods (kg d ⁻¹)		2.3±1.3	6.8±3.7	1.7±0.7	37.9±10.4
	Textile (kg d ⁻¹)		2.7±1.1	4.9±2.3	2.1±0.9	44.7±7.8
	Total (kg d ⁻¹)	92.0±23.9	38.3±10.2	40.3±9.7	35.9±7.8	1525.4±237.5

341 Note: d⁻¹ means per day

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343 Table 3 shows the contributions of informal waste recycling sector through pickers to the MSW
 344 recycling industry. It can be seen that 70-80% of the final recyclable materials were contributed by the
 345 informal waste recycling sector. According to the calculations via Equation (1), the economic value of
 346 the informal waste recycling sector in 2016 is about 78.6-84.6 million USD. The average annual
 347 income of the pickers in Nanjing is about 2535.1-2730.0 USD, which exceeds by 30.0-39.0% the
 348 annual minimum subsistence security standard of Nanjing of 1950.4 USD per person. Besides, the

349 pickers in Nanjing annually collect about 505 thousand tons of recyclable materials. According to the
 350 calculation taking 34.8-43.5 USD t⁻¹ as MSW disposal expense, the saved disposal expense is 17.6-22.0
 351 million USD per year.

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Table 3. Recyclable materials flow in the MSW management system in Nanjing

Recyclable materials (kg d ⁻¹)	Informal waste recycling sector		Actual recyclable materials captured by the MSW recycling industries ^b	Potential availability of recyclable material in the MSW ^c	Contribution of the informal sector ^d
	Estimated amount of recyclable material according to field survey though waste pickers ^a	Total recyclable materials captured by junk shoppers ^a			
Paper and cardboard	254426.6	248871.0	337211.4	395514.0	75.5%
Metal	23442.4	18728.7	30144.5	34529.0	77.8%
Plastic)	132616.1	125851.2	174010.3	226008.0	76.2%
Glass	34012.0	32089.6	45987.4	50224.0	74.0%
Wood	31236.9	11178.3	24356.8	72197.0	Invalid data ^f
Textile	29534.3	13183.9	128875.9	200896.0	22.9%

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^a Calculation is based on the field survey and waste pickers' census (NBS, 2016). ^b Data from 2015 statistical bulletin of circular industry in Nanjing (NEPB, 2016). ^c Calculation is based on Table 1 and the total MSW generation in Nanjing. ^d Contribution of the informal sector = Estimated amount of recyclable material according to field survey though waste pickers / Actual recyclable materials captured by the recycling industries × 100%. ^e Data are not valid, since the amount of waste captured by waste pickers is higher than the amount of actual recyclable materials captured by the recycling industries, because wood is less popular in the recycled market and part of wooden waste, such as old pieces of furniture and firewood, were used by scavengers for their own needs.

4.3 Influence of waste pickers on the MSW recovery rate

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Table 4 shows the actual MSW recovery rate of Nanjing and Singapore-Nanjing ecological technology island in 2016. Noteworthy is that the latter has an independent MSW management system, which is under the commercial operation of Super-Energy Resources Recycling Corporation, Ltd. Since any informal waste picking activities in the Singapore-Nanjing ecological technology island are forbidden, its recovery rate of recyclable materials implies a zero contribution from pickers. From Table 5 it can be seen that the MSW resource recovery rates of the total city are higher than those of Singapore-Nanjing ecological technology island: by 1.9% for metal, by 3.5-4.5% for glass, plastics, and textile and by 8.0% for paper and cardboard. This can be attributed to the contribution of pickers. Resource recovery rate is the most important index of MSW recycling. Developed countries widely use the innovative technologies and cost benefit analysis to constantly improve the resource recovery rate, while most developing countries, including China, have to rely on vast human resources and informal activities of waste pickers, which are labor intensive and characterized by limited or no use of technology, to improve the resource recovery rate. In the MSW of Nanjing, the recovery rates of metal, paper, packing box, and glass are all higher than 85%, which is way above the recovery rate level of

377 other developing countries [43]. In fact, the comprehensive utilization ratio of China's MSW is not high,
 378 especially, the end utilization ratios of direct incineration and biogas power generation, which are even
 379 lower than those of other developing countries [34, 44-47].

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381 **Table 4.** The potential contribution of pickers to the MSW recycling ratio in Nanjing

Recyclable materials (kg d ⁻¹)	Potential availability of recyclable material in MSW (kg d ⁻¹)	Actual recyclable materials captured by recycling industries (kg d ⁻¹)	Total recovery rate	Recovery rate of Singapore-Nanjing Eco-tech island ^a	Contribution of waste pickers
Paper and cardboard	395514	337211.4	85.3%	77.3%	8.0%
Metal	34529	30144.5	87.3%	85.4%	1.9%
Plastic	226008	174010.3	77.0%	72.5%	4.5%
Glass	50224	45987.4	91.6%	88.1%	3.5%
Wood	72197	24356.8	33.7%	43.8%	Data is not valid ^b
Textile	200896	128875.9	64.2%	59.6%	4.6%

382 ^a Calculation is based on the data of Super-Energy Resources Recycling Corporation, Ltd. and Table 1.

383 ^b The calculated value is invalid, due to the same reasons as described in Table 3

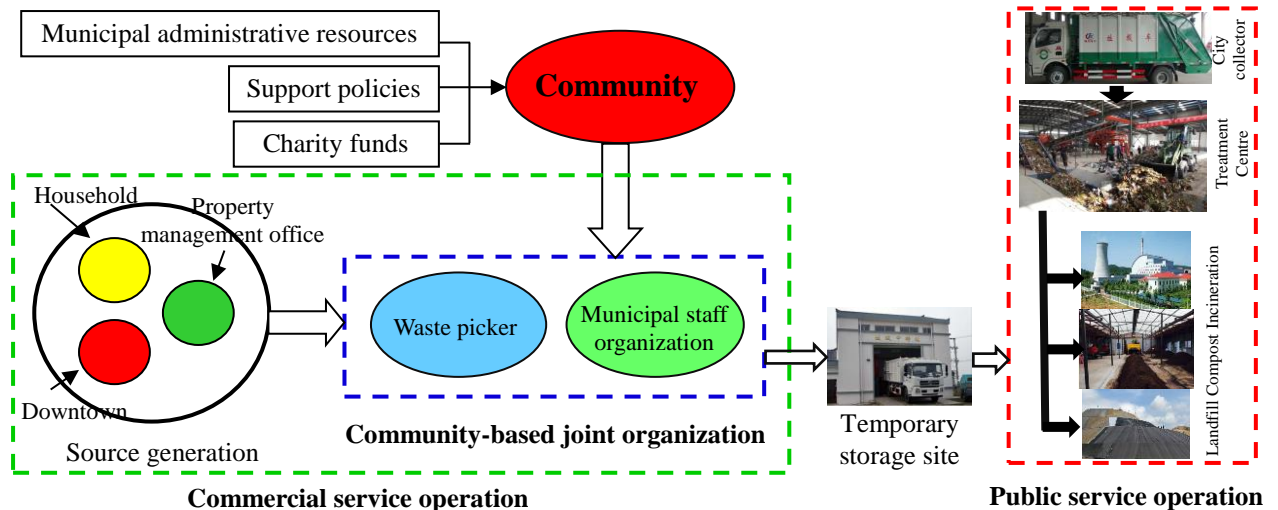
384

385 **5. Proposed options of MSW management improvement and policy implementation in Nanjing**

386 **5.1 Establishment of a community-based semi-official picker organizational framework to** 387 **improve resource recovery rate and pickers' income.**

388 At present, the Chinese government uses multiple management schemes incorporating waste
 389 pickers, where some organizations, including civil administration, environmental protection, epidemic
 390 prevention, and public security, are involved. However, none of these organizations can solve the key
 391 issue of picker management, which is the pickers' livelihood. In order to organize pickers and improve
 392 their contribution, some cities in China pass the managerial authority of urban solid waste to
 393 commercial companies, which become responsible for recruiting pickers who participate in
 394 MSW-related activities. However, only a small share of pickers can be hired by these companies, and
 395 the resulting activities of these companies are hindered by the conflict of interest with the rest of
 396 pickers [17, 18]. In some other cities, the direct supervision mode is implemented with mandatory
 397 "three unification rules concerning obligatory uniform, vehicle, and certificate", but because the
 398 pickers need to pay some annual management fees, it is hard for pickers to accept [16, 30]. Because of
 399 the huge picker group, the city government has to manage properly to reduce the social risks, such as
 400 security risk and public health risk, and to realize the inclusive growth. Therefore, in cohesion with the
 401 survey participants, a pilot structure of a semi-official picker organizational framework based on
 402 community was proposed by the authors of this study (Figure 6), which also envisage that the relevant
 403 supporting policies and systems should be improved and formulated, in order to provide at least a
 404 double growth of pickers' income and recovery rate. This framework possesses the following
 405 advantages: (1) The support fund is originally dispersed in multiple departments, as well as some social
 406 resources, including the intensively managed shelters for homeless people and community care centers.
 407 It can be uniformly deployed and used by grassroots community organizations (GROs), which would

408 furthest help the picker group. (2) A new independent operating company has to be formed from the
 409 combination of pickers and municipal cleaners, which would undertake the front-end work of MSW
 410 management, including collection and transportation. It is a labor-intensive task with a low technical
 411 content. (3) The numerous pickers' integration would not only reduce the cost of human resources, but
 412 will definitely improve the recovery rate of recyclable materials, especially in view of insufficient
 413 awareness of Chinese citizens of garbage classification expediency. In the future, some staff can be
 414 dispatched to each residential area to collect heavy environmental pollution waste, such as waste
 415 batteries, electronic products, etc.
 416



417
 418 **Fig. 6.** Proposed community-based pickers' organizational framework linked with public service of the
 419 MSW management in Nanjing
 420

421 **5.2 Policy implementation**

422 The successful implementation of semi-official picker organizational mode based on community
 423 must be supported with relevant laws and regulations. However, the current management policies in
 424 China are lagging, insofar as no amendments were yet made to regulation of 2002 concerning “the
 425 way to salvage and manage the vagrants and beggards in the cities”. The survey results and
 426 discussions with MSW-related participants made it possible to formulate the following
 427 recommendations on the improvement of the MSW management in Nanjing and integration of
 428 informal pickers:

429 (1) Implement the semi-official picker organizational mode based on community as a pilot project
 430 and delegate the dispersed resource, financial ability, and right to grassroots community organizations.
 431 It would be the most optimal government agency dealing with pickers, which is beneficial for
 432 coordinating and handling various complicated relationships in emergency.

433 (2) Accelerate the formulation of relevant laws and regulations, and promote the marketization of
 434 MSW disposal, which would eventually lead to transfer of the MSW disposal from public welfare to
 435 commercial operation, which would increase the waste recycling rate and reduce the operating costs.
 436 The experience of developed countries can be used to establish such laws and regulations, as “The
 437 law of waste disposal”, “The law on recycling economy and waste utilization”, and issue “Technical
 438 guide for waste classification and disposal in residential area”, “Technical guidelines for special

439 disposal and storage of waste”, and some other general administrative regulations. The enforcement
440 of these laws, regulations, and technical guidelines should be promoted.

441 (3) Ensure a better balance the value chain of MSW recycling and promote the healthy
442 development of MSW recycling. At present, the preferential policies of recycling industries in China,
443 such as tax relief, financial subsidies, etc., are all concentrated at the end of the industrial chain,
444 which are the final products of recycled materials. The pickers, who are located at the front end of the
445 industrial chain, hardly enjoy any benefits of the recycling industries. Taking Nanjing as an example,
446 the economic value created by recycling industries in 2016 was about 8 billion CNY (1.16 billion
447 USD), while the share of pickers accounted only to 6.8%-7.3%, which could hardly stimulate their
448 motivation. A financial support should be provided for the purchase of recyclable raw materials, in
449 order to improve the MSW recovery rate.

450 **6. Conclusions**

451 In China, waster pickers’ activities and living habits are mostly disapproved, due to a number of
452 negative effects on the urban social and ecological environment. However, waster pickers are of great
453 significance to the MSW management system and, due to their large number exceeding 4 million
454 persons in China, their proper management is not only beneficial for improving the waste recovery rate
455 and creating resource-saving society, but also may improve the livelihood of pickers and realize their
456 integration into the social economy. The social survey in the city of Nanjing, which covered the
457 decision-makers of urban management, insiders of recycling industry, citizens, and pickers, has yielded
458 the following results:

459 (1) The informal sector of waste recycling through classified by them.

460 (2) In Nanjing, waste pickers annually collect about 505,000 tons of recyclable materials and
461 create about 541.9-583.7 million CNY (78.6-84.7 USD) of the annual economic value, but account
462 only for 6.8-7.3% of the entire recycling industry chain.

463 (3) The pickers in Nanjing are able to save the MSW disposal cost of approximately 121.2-151.5
464 million CNY (17.6-22.0 million USD). The resource recovery rate is also increased by 1.9-8.0%.

465 (4) The available management modes for pickers’ are shown to be inefficient. The survey results
466 support the expedience of establishing a community-based semi-official picker organizational
467 framework, accompanied with relevant laws, regulations and preferential policies that would improve
468 resources’ recovery rate and pickers’ living and work conditions, in order to achieve more effective and
469 hazard-free MSW resourceization. It is anticipated that the results of this research would be
470 instrumental for the improvement of MSW recycling system and waste picker management in other
471 cities in China and other developing countries.

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