

1 **PROTEINS: A KEY MACROMOLECULE FOR AN EFFICIENT** 2 **ANAEROBIC DIGESTION OF MICROALGAE BIOMASS**

3
4 Jose Antonio Magdalena¹, Cristina González*¹, Ahmed Mahdy¹, Ignacio de Godos¹, Mercedes
5 Ballesteros²

6
7 ¹ Biotechnological Processes Unit, IMDEA Energy, Madrid, Spain

8 ² Biofuels Unit, CIEMAT, Madrid, Spain

9
10 *Corresponding author

11 **ABSTRACT**

12 Biogas generation is the least complex technology to transform microalgae biomass into bioenergy. Since
13 hydrolysis has been traditionally pointed out as the rate limiting stage of anaerobic digestion, the main
14 challenge for an efficient biogas production is the optimization of cell wall disruption/hydrolysis. Among
15 all the pretreatments tested, enzymatic treatment not only has demonstrated very effective disruption
16 levels but also revealed the impact of microalgae macromolecular composition in the anaerobic process.
17 Although carbohydrates have been traditionally recognized as the polymers responsible for the low
18 microalgae digestibility, protease addition resulted in the highest organic matter solubilization and
19 therefore also higher CH₄ production. However, the increase of protein solubilization could result in
20 inhibition of anaerobic digestion due to the release of ammonium nitrogen. The possible solutions to
21 overcome these negative effects are: the reduction of protein levels of biomass by culturing the
22 microalgae in low nitrogen media and the use of ammonia tolerant anaerobic inocula.
23

24
25 **Keywords:** microalgae, anaerobic digestion, proteins, biogas, inhibition

26 **1- INTRODUCTION**

27 Environmental issues and energy self-sufficiency worries have led to the research of new approaches and
28 strategies to improve traditional technologies and at the same time seek for alternatives involving
29 renewable energies to substitute them. Anaerobic digestion is one of those traditional technologies, which
30 has been employed for the degradation of organic residues because of many advantages such as the
31 effectivity in the removal of biodegradable organic compounds, the applicability at any scale with a high
32 variety of substrates and the products that are produced, which are biogas and digestate that are easy to
33 separate and represent a way to obtain energy and fertilizers respectively [1]. Focusing on biogas, it is
34 mainly composed by CH₄ and CO₂, but it has also other compounds such as N₂, O₂, H₂S, NH₃, water or
35 H₂.

36 Among the different substrates that can be employed, microalgae are being recently studied since
37 anaerobic digestion does not require highly concentrated biomass [2], moreover, they have also potential
38 application in other fields such as food supplementation, or medical chemicals [3]. Microalgae biomass
39 has a wide range of compositions depending on growth conditions and species. The main components are
40 lipids (7-23%), carbohydrates (5-64%) and proteins (6-71%) [4]. Different compositions of microalgae
41 produce different methane yields. This variety is related to the specie of microalgae, but also to the
42 growth conditions (macro and micronutrients). It is especially important to highlight the composition of
43 the cell wall because of its importance on the overall process performance. Microalgae have a chemically
44 complex and structurally robust cell wall with low biodegradable substances that hinder the anaerobic
45 digestion. Some of these compounds are sporopollenin, algaenan, cellulose and hemicellulose that offer a
46 barrier to degradation [5, 6]. Cell walls are degraded by extracellular enzymes of anaerobic bacteria
47 during anaerobic digestion. Hydrolysis is the limiting step of the process, so pretreatments are used in
48 order to facilitate the accessibility of these extracellular enzymes, which results in an improvement of the
49 hydrolysis. Different pretreatments have been studied such as thermal, chemical, mechanical or
50 biological. Biological treatments are being studied lately because of their low costs and their
51 consideration as a green technology if compared to the other pretreatments [7].

52 The main drawback is that it is not yet clearly stablished how enzymes and composition of the cell wall
53 influence the whole process yield. Traditionally this importance has been awarded to carbohydrates, but,
54 as it was pointed out, the amount of proteins out of the total composition of the microalgae could be as
55 high as carbohydrates or even higher.

56 **2. PRETREATMENT OF MICROALGAE TO IMPROVE BIOFUELS PRODUCTION AND** 57 **BIOPRODUCTS EXTRACTION**

Pretreatment has become a key step highly required to enhance biogas production from microalgae biomass [8]. Cell wall rupture or hydrolysis is needed to make available microalgae organic matter to anaerobic microorganisms [9]. Since low biodegradability is a common issue in anaerobic digestion of other substrates (such as sludge produced during wastewater treatment) a wide range of pretreatments are available to enhance the hydrolysis step [10]. Beside this, some of these techniques are regularly applied in other processes such as production of biodiesel or bioethanol [11]. In the following sections the different pretreatments reported for microalgae biomass and the performance of each of them are overviewed.

2.1. Energy demanding pretreatments: thermal, thermo-chemical and mechanical pretreatments

Pretreatments are classified in four groups: thermal, mechanical (ultrasound and microwave), chemical (acidic, alkaline, and ozonation) and thermo-chemical (combination of acidic or alkaline with a high temperatures) and biological (enzymatic). Many studies have been done in recent years to improve biogas production using those pretreatments (Table 1). Most of them have been only assessed in Biochemical Methane Potential (BMP) assays while there is little information of the effect of pretreatments when the digestion is conducted in semi-continuous operated reactors (Figure 1) [12].

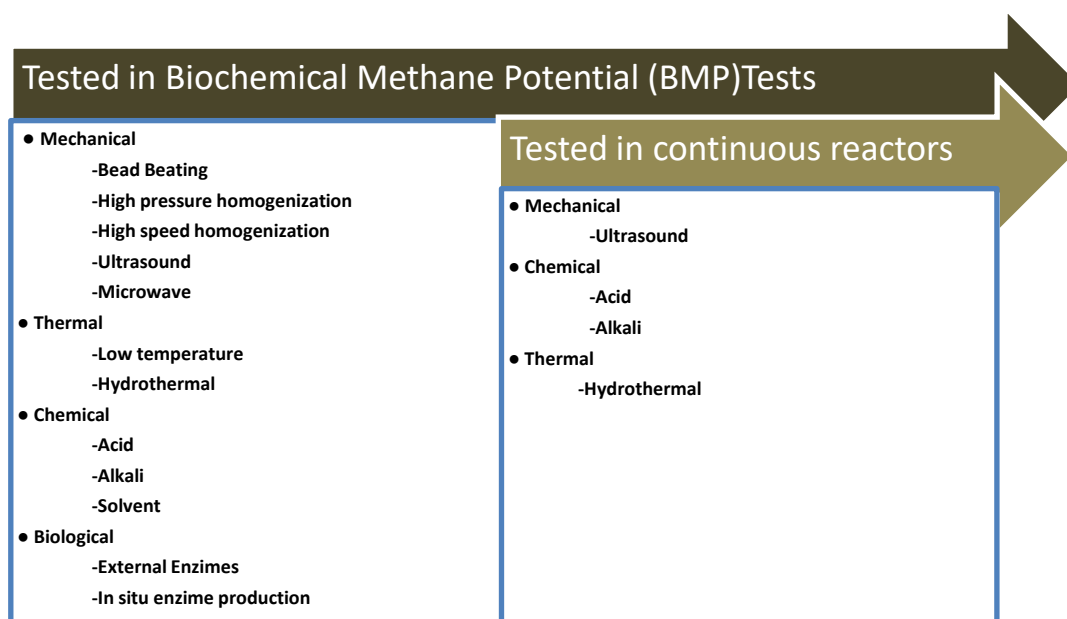


Figure 1. Research concerning the different types of pretreatment

Given that thermal energy is available in biogas production installations, the most used pretreatment is thermal application.

Thermal pretreatments involve biomass heat up in a wide range of temperatures (50-270°C) and time (from minutes to hours). An example of this variety are the experiments carried out by Passos and Ferrer (2014) and Passos and Ferrer (2015) [13, 14] where they applied 75°C-95°C for 10 hours, and 130 °C for 15 minutes, respectively, to test the influence of thermal pretreatments on energy production. Low thermal pretreatment (80°C) for 15 min applied to *Scenedesmus* increased 1.6-fold its methane production (128.7 mL CH₄/g COD_{in}) compared to untreated biomass (81.8 mL CH₄/g COD_{in}) [15]. Similar temperatures were tested in *Chlorella* biomass (70 and 90°C) for 0.5 h resulting in a methane yield of 37 % and 48% in compared to basal values (322 mL CH₄/ g VS_{add}) [16]. Higher temperatures (130°C for 15-30 min) were also tested, resulting in 28% methane yield increase if compared to the control (105.6 mL CH₄/ g VS_{add})[17].

Although thermal pretreatments normally present positive results in terms of methane yield, these methods involved some drawbacks such as the formation of recalcitrant compounds that could potentially decrease the performance of the process [18, 19].

Mechanical pretreatments are commonly employed to disrupt different kind of organic substrates in industrial processes. Ultrasound treatment has been applied to disrupt microalgae cell wall in different bioprocess devoted to biofuel production, such as ethanol production with *Chlorella* [20] and biodiesel generation with *Spirulina* [21]. In case of anaerobic digestion, ultrasound pretreatment has shown positive results in terms of methane yield enhancement. Ultrasound (128.9 kJ/g at 80°C and 30 min) has been applied in *Scenedesmus* biomass resulting in an increase of 19% methane production compared to basal

1 values (128 mL CH₄/ g COD_{in}) [22], whereas Gonzalez-Fernandez et al. (2012) applied 128.9 MJ/Kg to
2 enhance methane yield from 81.8 mL CH₄/ g COD_{in} to 153.5 mL CH₄/ g COD_{in} [23]. Ultrasound
3 pretreatment (70 W for 30 min) was also applied for *Monoraphidium sp* and *Stigeoclonium sp* biomass to
4 enhance methane yield from 105.6 mL CH₄/ g COD_{in} to 196 mL CH₄/ g COD_{in} [17]. Alzate et al. (2012)
5 found increases of 6-24 % in CH₄ yield of a mixture of microalgae biomass after the pretreatment at
6 different energy inputs (10; 27; 40; 57 MJ/kgTS) [18]. After testing these energy levels, no significant
7 increases in methane production were found above 10 MJ per kg TS.

8 The main limitation of ultrasound pretreatment is the high energy input required when compared to
9 thermal, chemical or biological methods [13]. In addition, in contrast to thermal pretreatments, the energy
10 is required as electricity; therefore it is more difficult to use self-produced energy during the pretreatment
11 in a biogas production plant.

12 **Chemical methods** have been less employed than thermal and mechanical and they are often combined
13 with of heat pretreatment.

14 Cell wall disruption with alkali and acid pretreatments has been tested with positive results in different
15 processes of bioenergy generation with microalgae biomass (ethanol, butanol and biomethane) [24, 25].
16 Studies of solubilisation of the microalgae biomass before anaerobic digestion have been reported using
17 thermo-alkaline methods. Different doses of CaO (4 and 10%) and different temperatures (25, 55 and 72
18 °C) resulted in a solubilisation of proteins and carbohydrates of 32.4% and 31.4% respectively, and
19 methane yield was enhanced by 25% from basal values of 260 mL CH₄/ g VS_{add} [26]. Another
20 experiment, which improved the solubilisation of the raw biomass was carried out in *Chlorella* and
21 *Scenedesmus* using NaOH (0.5, 2 and 5% v/v) although increase of methane yield was not observed by
22 Mahdy et al. (2014a) [27]. Besides, acidic pretreatment was tested in *Chlorella* where different
23 concentrations of hydrochloric acid (0.5-10% w/w) at 121°C for 20 min enhanced the solubilisation of
24 carbohydrates (92%) [28]. Recently, the application of ozone as pretreatment has shown variable
25 increases between 6 and 66 % on the CH₄ yield and the disruptive effect of this compound on the cell wall
26 was evidenced by electron microscopy [29]. One of the main limitations of this pretreatment is the need
27 to readjust the pH previously to the anaerobic digestion. In this manner, chemical costs make this type of
28 pretreatments limited. Additionally, some the chemicals need to be removed previous the anaerobic
29 digestion since they can be toxic for anaerobes [30]

30 **2.2. Low Energy Demanding Pretreatments: Biological Pretreatments applied to microalgae**

31 Compared to the previous pretreatments, **biological pretreatments** involve reduced energy demands.
32 These pretreatments include the use of enzymes or microorganisms to hydrolyze the microalgae cell wall.
33 Given the scarce information related to the cell wall composition, a wide range of biocatalysts have been
34 tested. In principle, given the similarities between higher plants and microalgae, the most studied catalysts
35 are cellulases, hemicellulose, amylase and pectinase [11, 31, 32]. Moreover, another enzymes are used, such
36 as lysozyme, which was used for the enhancement of fermentable sugars for bioethanol production in
37 *Microcystis aeruginosa* [33].

38 When it comes to the addition of other microorganisms, cellulase-secreting bacteria was added to
39 *Chlorella vulgaris*. The results showed an increase of 18% organic matter solubilization against the
40 control which proved the biomass hydrolysis [34]. Some other enzymatic cocktails for microalgae cell
41 wall hydrolysis include proteases and lacases. In this sense, commercial proteases cocktails (Alcalase)
42 were employed in *Chlamydomonas reinhardtii* and *Chlorella vulgaris* displaying solubilisation of
43 carbohydrates and proteins of 86-96% and an [35]. As it is observed in Table 1, almost all tested
44 pretreatments improve methane production yield although it seems there is not a direct linkage between
45 solubilisation and methane enhancement. Biological approaches and specifically, enzymatic pretreatments
46 are being used recently to identify which is the most recalcitrant microalgae macromolecule in the context
47 of biogas production [35].

48 **3. BIOLOGICAL APPROACH TO ENHANCE BIOGAS PRODUCTION: ENZYMATIC** 49 **PRETREATMENT**

50 As it was pointed out, these methods are energetically competitive since most of the time their
51 temperature requirement is low and only need smooth shaking. Despite of the high economic cost of the
52 enzymatic cocktails [36], the use of biocatalysts can provide crucial information to identify the
53 macromolecule hampering anaerobic digestion of microalgae biomass. Moreover, the costs could be
54 reduced either by producing enzymes in situ [34] or by sludge bioaugmentation [35, 36, 37].

55 Opposite to other pretreatments, biological reactions show a high selectivity and absence of inhibitory
56 compounds, therefore, biocatalysts do not only disrupt the cell wall, but they also hydrolyze the
57 macromolecules during biological pretreatment. Different parameters must be taken into account such as
58 pH, temperature, enzyme dose, and exposure time. Likewise given the different macromolecular
59 composition, structural features and cell wall composition among microalgae strains, a wide range of
60 biocatalysts can be found in literature.

3.1. Carbohydrases

Carbohydrases are in charge hydrolysing carbohydrates polymers into simple sugars. Cellulases, amylases and amyloglucosidases have been tested in microalgae biomass to enhance its methane yield. Studies have been carried out in order to assay the influence of this fraction in the process since it is believed that it is the responsible of the toughness of the cell wall. *C. vulgaris* and *Scenedesmus* were treated applying Viscozyme, Celluclast, and Pectinase reaching 84 and 36% of carbohydrates solubilisation respectively and enhancing the methane yield 1.2-fold [40]. Amilolytic enzymes were produced by submerged fermentation and solid state fermentation and purified to hydrolyze de polysaccharides in *Spirulina* producing yields of 332% and 205% if compared to the crudes [41]. Combination of different enzymes were also studied when cellulases from *Trichoderma reesei* were mixed with metal oxides to treat *Chlorella* biomass resulting in a glucose yield of 91% of theoretical maximum [42]. Enzymatic hydrolysis was also combined with acid hydrolysis in *Chlorella sorokiniana* and *Nannochloropsis gaditana* improving the sugar release [43]. Carbohydrases were used to facilitate lipid extraction using enzymes (exoglucanase, endoglucanase, xylanase and laccase) produced by different biomass-degrading bacteria improving it up to 40% [44].

3.2. Lipases

Lipids could be very useful for anaerobic digestion due to the high potential yield of this fraction if compared to other macromolecular constituents, 1.014 compared to 0.496 and 0.415 LCH₄ g⁻¹, in lipids, proteins and carbohydrates, respectively [45]. However, long chain fatty acids are formed when lipids are hydrolyzed, which can easily make the system unstable [46]. Because of this, studies are mainly focused on the optimum concentration of lipids that makes possible to carry out the process without inhibition. In this way, experiments were carried out at different lipid concentration observing inhibition in methane production when this fraction summed up 31% of the total substrate [47], and research was also conducted in order to develop strategies to avoid this inhibition [48]. It is worth to notice that lipids accumulation in microalgae biomass has been in deep studied to produce liquid fuels [49, 50].

3.3. Proteases

Protein fraction is degraded by proteases. These enzymes hydrolyze peptides into amino acids. The use of proteases is receiving particular interest in last years, especially in combination with other pretreatments or enzymes contained in commercial cocktails [11, 51]. Hydrolysis of proteins was studied by combining sonication and enzymatic pretreatment enhancing the solubilisation of proteins by 56% [52]. Likewise, recent research has conferred the proteins special importance in the anaerobic digestion process since microalgae biomass exhibits a high content (until 75 %). In this way, different approaches have been researched. *C. vulgaris* enhanced 2.6-fold its methane production when pretreated with Alcalase [53]. In the same way, *C. vulgaris* biomass was treated with proteases in a prevailing carbohydrates biomass, reaching a higher methane yield (5-6.3-fold) than the biomass pretreated with carbohydrases [40] and a high enhancement of methane yield in *C.vulgaris* (1.72-fold) and *Scenedesmus* (1.53-fold) pretreated with proteases was also achieved [54]. These results suggest that proteins are the molecules that hindered anaerobic digestion instead of carbohydrates. For this reason, different strategies are arising to assay this fraction, which is lately considered as a key macromolecule.

4. Biomass Proteins in anaerobic digestion of microalgae

Microalgae have been reported as a high heterogeneous substrate, which holds a sturdy cell wall that makes the hydrolysis step more difficult [35]. After applying a pretreatment, solubilisation of organic matter increases, and the main fractions to produce biogas, which are proteins, lipids and carbohydrates, are more accessible.

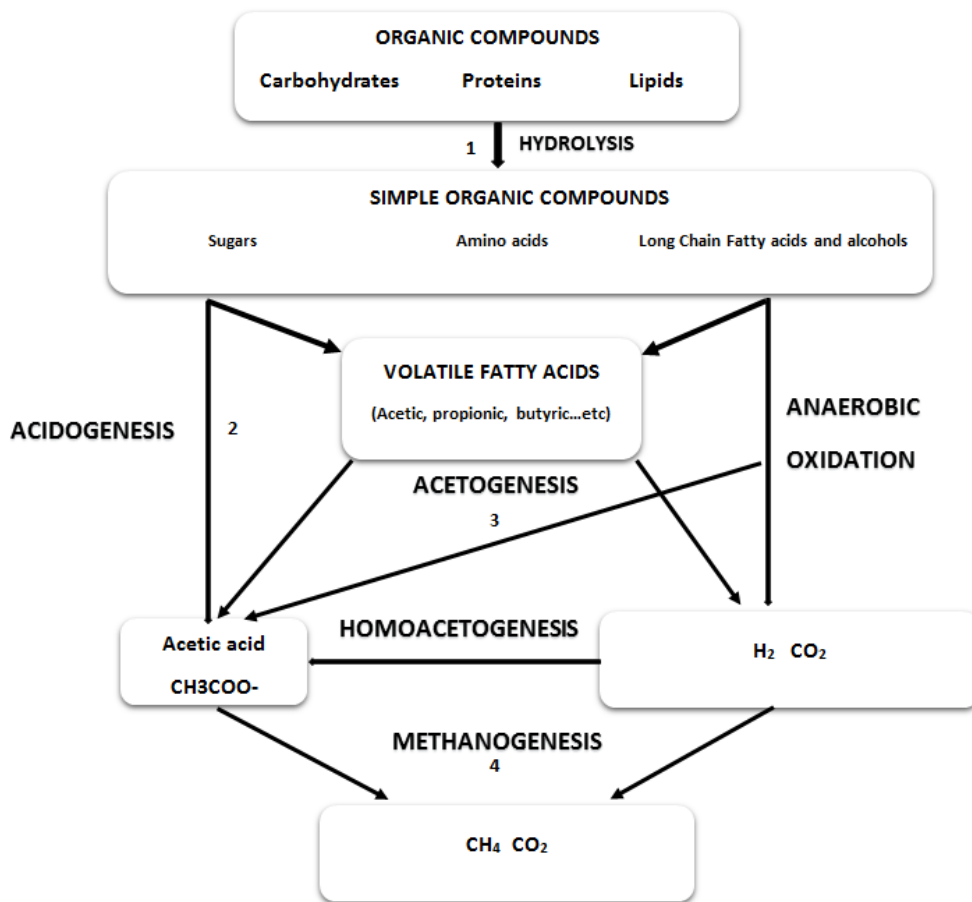
Anaerobic digestion is divided in four different phases named as hydrolysis, acidogenesis, acetogenesis and methanogenesis (Figure 2).

4.1. The relevance of microalgae proteins in the hydrolysis stage of anaerobic digestion

The first biological process involved in anaerobic digestion is hydrolysis, which is the limiting step and its effectiveness is crucial for the overall process as some authors already pointed out [2, 40]. Focusing on proteins, they are hydrolyzed into amino acids by extracellular enzymes secreted by different bacteria such as *Clostridium*, *Vibrio*, *Peptococcus*, *Bacillus*, *Proteus*, *Bacteroides* [35]. Moreover, research showed higher methane production when proteases were applied if compared to raw biomass and biomass treated with carbohydrases, methane production was enhanced by 51% showing the benefits of having proteins in the soluble phase [40]. Similar results were reported in *C. sorokiniana* biomass using proteases and esterases, increasing the hydrolysis (35-45%) resulting in a higher methane yield (3-fold) when compared to raw biomass [2]. In addition, enhancement of methane yield (37%) was also attributed to protease activity when biomass (blue algae) was stored [55].

During the second step, acidogenesis, a facultative consortia of bacteria ferment amino acids transforming them into volatile fatty acids (VFA) such as acetic, propionic and butyric acids [56]. Acetogenesis is the third step of the process and it consists in the degradation of VFA producing acetate, CO₂ and H₂ by some

1 species such as *Syntrophobacter* or *Syntromonas* [23]. Eventually, these products are further transformed
 2 into methane by two different pathways. The first one is called “acetoclastic methanogenesis”, where
 3 acetate produces methane. The second is called “hydrogenotrophic methanogenesis”, where methane is
 4 produced by CO₂ and H₂.



5
6 **Figure 2. Anaerobic digestion steps**

7 As it was indicated, microalgae are a very heterogeneous substrate. Because of this variety, composition
 8 of the cell wall differs among the different species, but there are also intra-specie variations based on the
 9 growth conditions, which eventually produce different methane production yields [57].

10 Different strategies have been developed in order to modify the composition of microalgae to improve
 11 biomass productivity. These methodologies encompass the modification of the growth media through
 12 nitrogen starvation, phosphate limitation, or high Fe³⁺ concentrations [36]. In fact, modifications were
 13 tested when *Arthrospira platensis* grew in phosphorous limitation resulting in an enrichment of the
 14 carbohydrates fraction [58]. Likewise, production of hydrogen was induced in *C. reinhardtii* when sulfur
 15 starvation was applied [59].

16 **4.2. The relevance of microalgae proteins in the methanogenesis stage of anaerobic digestion**

17 Out of the subsequent stages involved in anaerobic digestion, during methanogenesis hydrogen and acetic
 18 acid is converted to methane gas and carbon dioxide. This last stage is performed by archaea. When
 19 compared to anaerobic bacteria involved in anaerobic digestion, archaea are more sensitive to toxic
 20 compounds and also exhibited lower growth rates. According to Henze *et al.*, acidifiers present ten to
 21 twentyfold higher growth rates and fivefold conversion rates than methanogens [1, 60]. With regard to
 22 their sensibility toward toxic compounds, methanogens present lower tolerance against ammonium
 23 nitrogen. Ammonia diffuses freely through the permeable membrane of methanogens cells causing
 24 changes in intracellular pH and resulting in potassium deficiency and/or proton imbalance. Beside this,
 25 ammonium could inhibit enzymes that are involved in methane production [61]. As a result, the high
 26 concentration of total ammonia (ammonia/ammonium) can lead to volatile fatty acids accumulation. This
 27 last process involves acidification which in turns inhibits the methanogen activity. Therefore, the main
 28 drawback of digesting the proteins fraction is the high amount of nitrogen released in form of ammonium
 29 that can inhibit methane formation.

1 In a scenario of bioenergy production in form of biogas produced from anaerobic fermentation of
2 microalgae, two strategies to avoid inhibition by ammonium can be applied. The first one is to modify the
3 growth conditions by providing the microalgae with a poor nitrogen medium. Biogas productivity was
4 modified using this method in different studies [61, 62, 63, 64, 65]. This strategy can be easily applied by
5 using urban wastewater as culture media, which normally presents considerable lower nitrogen
6 concentrations than synthetic salt mediums (≈ 60 vs. $300\text{-}600$ mg N/L⁻¹). *C.vulgaris* was grown in
7 wastewater media, which resulted in a high accumulation of carbohydrates, which eventually enhanced
8 methane production [40]. In addition to reducing the amount of proteins in the biomass, by using
9 wastewater as culture media the biogas production can be coupled to treatment of the effluents by
10 oxygenation mediated by microalgae.

11
12 The second approach is through bioaugmentation of the sludge, which consists in introducing anaerobic
13 microorganisms for one specific goal. As a matter of fact, this strategy was successful in order to enhance
14 methane yield (18-38%) after adding *Clostridium thermocellum* at various inoculum ratios to degrade
15 microalgae cellulose [37]. Thus, anaerobic microorganisms that are tolerant to high NH₄⁺ concentrations
16 should be provided to accomplish this goal. The use ammonia tolerant inocula has been recently
17 demonstrated as efficient option for digestion of mixtures of *C. vulgaris* and cattle manure [66]. In this
18 study the effectiveness of adapted methanogens resulted in an increase of 33 % in the potential
19 conversion of biomass to methane. Although it is generally believed that ammonia levels above 3 g/L
20 have toxic effect on the methanogens, the resistance of methanogens can be increased by exposing the
21 microorganisms to high nitrogen concentrations (67).

22 23 5. CONCLUSIONS

24 Anaerobic digestion of microalgae has been presented as a promising alternative for generation of
25 bioenergy. The implementation of this process requires a disruption of the rigid cell wall in order to
26 release to organic matter for methanogens. Enzymatic pretreatment with proteases shows the best
27 performance in terms of organic matter solubilization and methane production. This fact shows that
28 protein embedded in microalgae cell wall is causing the low biodegradability. However, solving this
29 problem with protease addition could result in methanogens inhibition mediated by high ammonia
30 concentrations. Two solutions are proposed: the reduction of nitrogen levels of microalgae biomass using
31 a low nitrogen concentration culture media and the use of ammonium highly tolerant anaerobic inocula.

32 33 ACKNOWLEDGEMENTS

34 Authors would like to acknowledge the Community of Madrid for the support offered in the framework
35 of the project INSPIRA-1 (S2013/ABI-2783) and the Spanish Ministry of Economy and Competitiveness
36 for financial support to projects of (WW-ALGAS, ENE2013-45416-R and RYC-2014-16823).

37 38 REFERENCES

- 39
40 [1] Henze, M., M. C. M. van Loosdrecht, G. A. Ekama & D. Brdjanovic. 2008. *Biological Wastewater*
41 *Treatment*. IWA Publishing.
- 42 [2] Ometto, F., G. Quiroga, P. Psenicka, R. Whitton, B. Jefferson & R. Villa (2014) Impacts of
43 microalgae pre-treatments for improved anaerobic digestion: thermal treatment, thermal
44 hydrolysis, ultrasound and enzymatic hydrolysis. *Water Res*, 65, 350-61.
- 45 [3] Veillette, M., A. Giroir-Fendler, N. Faucheux & M. Heitz (2017) Biodiesel from microalgae lipids:
46 from inorganic carbon to energy production. *Biofuels*, 1-28.
- 47 [4] Jankowska, E., A. K. Sahu & P. Oleskowicz-Popiel (2017) Biogas from microalgae: Review on
48 microalgae's cultivation, harvesting and pretreatment for anaerobic digestion. *Renewable and*
49 *Sustainable Energy Reviews*, 75, 692-709
- 50 [5] de Leeuw, J. W., G. J. M. Versteegh & P. F. van Bergen. 2006. Biomacromolecules of algae and
51 plants and their fossil analogues. In *Plants and Climate Change*, eds. J. Rozema, R. Aerts & H.
52 Cornelissen, 209-233. Dordrecht: Springer Netherlands
- 53 [6] Kodner, R. B., R. E. Summons & A. H. Knoll (2009) Phylogenetic investigation of the aliphatic, non-
54 hydrolyzable biopolymer algaenan, with a focus on green algae. *Organic Geochemistry*, 40, 854-
55 862.
- 56 [7] Hom-Diaz, A., F. Passos, I. Ferrer, T. Vicent & P. Blázquez (2016) Enzymatic pretreatment of
57 microalgae using fungal broth from *Trametes versicolor* and commercial laccase for improved
58 biogas production. *Algal Research*, 19, 184-188.
- 59 [8] Lakaniemi, A.-M., O. H. Tuovinen & J. A. Puhakka (2013) Anaerobic conversion of microalgal
60 biomass to sustainable energy carriers – A review. *Bioresource Technology*, 135, 222-231.

- 1 [9] Passos, F. & I. Ferrer (2014) Microalgae conversion to biogas: thermal pretreatment contribution on
2 net energy production. *Environ Sci Technol*, 48, 7171-8.
- 3 [10] Zhen, G., Lu, X., Kato, H., Zhao, Y., Li, Yu-You (2017) Overview of pretreatment strategies for
4 enhancing sewage sludge disintegration and subsequent anaerobic digestion: Current advances,
5 full-scale application and future perspectives, *Renewable and Sustainable Energy Reviews* 69
6 (2017) 559–577
- 7 [11] Choi, S. P., M. T. Nguyen & S. J. Sim (2010) Enzymatic pretreatment of *Chlamydomonas reinhardtii*
8 biomass for ethanol production. *Bioresource Technology*, 101, 5330-5336.
- 9 [12] Uggetti, E., F. Passos, M. Solé, M. Garfí & I. Ferrer (2017) Recent Achievements in the Production
10 of Biogas from Microalgae. *Waste and Biomass Valorization*, 8, 129-139.
- 11 [13] Passos, F., E. Uggetti, H. Carrère & I. Ferrer (2014) Pretreatment of microalgae to improve biogas
12 production: A review. *Bioresource Technology*, 172, 403-412.
- 13 [14] Passos, F., J. Carretero & I. Ferrer (2015) Comparing pretreatment methods for improving
14 microalgae anaerobic digestion: Thermal, hydrothermal, microwave and ultrasound. *Chemical*
15 *Engineering Journal*, 279, 667-672
- 16 [15] González-Fernández, C., B. Sialve, N. Bernet & J.-P. Steyer (2012) Impact of microalgae
17 characteristics on their conversion to biofuel. Part II: Focus on biomethane production. *Biofuels*,
18 *Bioproducts and Biorefining*, 6, 205-218.
- 19 [16] Wang, M., E. Lee, M. P. Dilbeck, M. Liebelt, Q. Zhang & S. J. Ergas (2017) Thermal pretreatment
20 of microalgae for biomethane production: experimental studies, kinetics and energy analysis.
21 *Journal of Chemical Technology & Biotechnology*, 92, 399-407.
- 22 [17] Passos, F., Ferrer, I. (2015) Influence of hydrothermal pretreatment on microalgal biomass anaerobic
23 digestion and bioenergy production. *Water Research*, 68, 364-373
- 24 [18] Alzate, M. E., R. Muñoz, F. Rogalla, F. Fdz-Polanco & S. I. Pérez-Elvira (2012) Biochemical
25 methane potential of microalgae: Influence of substrate to inoculum ratio, biomass concentration
26 and pretreatment. *Bioresource Technology*, 123, 488-494.
- 27 [19] Mendez, L., Mahdy, A., Demuez, M., Ballesteros, M., González-Fernández, C. (2014) Effect of high
28 pressure thermal pretreatment on *Chlorella vulgaris* biomass: organic matter solubilisation and
29 biochemical methane potential. *Fuel*, 117 (2014) 674–679
- 30 [20] Hirano, A., R. Ueda, S. Hirayama & Y. Ogushi (1997) CO₂ fixation and ethanol production with
31 microalgal photosynthesis and intracellular anaerobic fermentation. *Energy*, 22, 137-142.
- 32 [21] Martínez, N., N. Callejas, E. G. Morais, J. A. Vieira Costa, I. Jachmanián & I. Vieitez (2017)
33 Obtaining biodiesel from microalgae oil using ultrasound-assisted in-situ alkaline
34 transesterification. *Fuel*, 202, 512-519.
- 35 [22] Luo, J., Z. Fang & R. L. Smith Jr (2014) Ultrasound-enhanced conversion of biomass to biofuels.
36 *Progress in Energy and Combustion Science*, 41, 56-93.
- 37 [23] Gonzalez-Fernandez, C., B. Sialve, N. Bernet & J. P. Steyer (2012) Comparison of ultrasound and
38 thermal pretreatment of *Scenedesmus* biomass on methane production. *Bioresour Technol*, 110,
39 610-6.
- 40 [24] Wang, Y., W. Guo, C. L. Cheng, S. H. Ho, J. S. Chang & N. Ren (2016) Enhancing bio-butanol
41 production from biomass of *Chlorella vulgaris* JSC-6 with sequential alkali pretreatment and
42 acid hydrolysis. *Bioresour Technol*, 200, 557-64.
- 43 [25] Efremenko, E. N., A. B. Nikolskaya, I. V. Lyagin, O. V. Senko, T. A. Makhlis, N. A. Stepanov, O.
44 V. Maslova, F. Mamedova & S. D. Varfolomeev (2012) Production of biofuels from pretreated
45 microalgae biomass by anaerobic fermentation with immobilized *Clostridium acetobutylicum*
46 cells. *Bioresour Technol*, 114, 342-8.
- 47 [26] Solé-Bundó, M., H. Carrère, M. Garfí & I. Ferrer (2017) Enhancement of microalgae anaerobic
48 digestion by thermo-alkaline pretreatment with lime (CaO). *Algal Research*, 24, Part A, 199-206.
- 49 [27] Mahdy, A., L. Mendez, M. Ballesteros & C. González-Fernández (2014a) Autohydrolysis and
50 alkaline pretreatment effect on *Chlorella vulgaris* and *Scenedesmus* sp. methane production.
51 *Energy*, 78, 48-52.
- 52 [28] Park, C., J. H. Lee, X. Yang, H. Y. Yoo, S. K. Lee & S. W. Kim (2016) Enhancement of hydrolysis
53 of *Chlorella vulgaris* by hydrochloric acid. *Bioprocess Biosyst Eng*, 39, 1015-21.
- 54 [29] Cardeña, R., G. Moreno, P. Bakonyi & G. Buitrón (2017) Enhancement of methane production from
55 various microalgae cultures via novel ozonation pretreatment. *Chemical Engineering Journal*,
56 307, 948-954.
- 57 [30] Pandey, A., S. Negi, P. Binod & C. Larroche. 2014. *Pretreatment of Biomass: Processes and*
58 *Technologies*. Elsevier Science.

- 1 [31] Chng, L. M., K. T. Lee & D. J. C. Chan (2017) Synergistic effect of pretreatment and fermentation
2 process on carbohydrate-rich *Scenedesmus dimorphus* for bioethanol production. *Energy*
3 *Conversion and Management*, 141, 410-419.
- 4 [32] Carrillo-Reyes, J., M. Barragán-Trinidad & G. Buitrón (2016) Biological pretreatments of microalgal
5 biomass for gaseous biofuel production and the potential use of rumen microorganisms: A
6 review. *Algal Research*, 18, 341-351.
- 7 [33] Khan, M. I., M. G. Lee, J. H. Shin & J. D. Kim (2017) Pretreatment optimization of the biomass of
8 *Microcystis aeruginosa* for efficient bioethanol production. *AMB Express*, 7, 19.
- 9 [34] Kavitha, S., P. Subbulakshmi, J. Rajesh Banu, M. Gobi & I. Tae Yeom (2017) Enhancement of
10 biogas production from microalgal biomass through cellulolytic bacterial pretreatment.
11 *Bioresour Technol*, 233, 34-43
- 12 [35] Gonzalez-Fernandez, C., B. Sialve & B. Molinuevo-Salces (2015) Anaerobic digestion of microalgal
13 biomass: Challenges, opportunities and research needs. *Bioresour Technol*, 198, 896-906.
- 14 [36] Arenas, E. G., M. C. Rodriguez Palacio, A. U. Juantorena, S. E. L. Fernando & P. J. Sebastian
15 (2017) Microalgae as a potential source for biodiesel production: techniques, methods, and other
16 challenges. *International Journal of Energy Research*, 41, 761-789.
- 17 [37] Aydin, S. (2016) Enhancement of microbial diversity and methane yield by bacterial
18 bioaugmentation through the anaerobic digestion of *Haematococcus pluvialis*. *Appl Microbiol*
19 *Biotechnol*, 100, 5631-7.
- 20 [38] Lu, F., J. Ji, L. Shao & P. He (2013) Bacterial bioaugmentation for improving methane and hydrogen
21 production from microalgae. *Biotechnol Biofuels*, 6, 92.
- 22 [39] Lavric, L., A. Cerar, L. Fanel, B. Lazar, M. Zitnik & R. M. Logar (2017) Thermal pretreatment and
23 bioaugmentation improve methane yield of microalgal mix produced in thermophilic anaerobic
24 digestate. *Anaerobe*.
- 25 [40] Mahdy, A., Ballesteros, M., González-Fernández, C. (2016) Enzymatic pretreatment of *Chlorella*
26 *vulgaris* for biogas production: Influence of urban wastewater as a sole nutrient source on
27 macromolecular profile and biocatalyst efficiency. *Bioresour Technol*, 199, 319-325.
- 28 [41] Rodrigues, E. F., A. M. Ficanha, R. M. Dallago, H. Treichel, C. O. Reinehr, T. P. Machado, G. B.
29 Nunes & L. M. Colla (2017) Production and purification of amylolytic enzymes for
30 saccharification of microalgal biomass. *Bioresour Technol*, 225, 134-141.
- 31 [42] Velmurugan, R. & A. Incharoensakdi (2017) MgO-Fe₃O₄ linked cellulase enzyme complex
32 improves the hydrolysis of cellulose from *Chlorella* sp. CYB2. *Biochemical Engineering*
33 *Journal*, 122, 22-30.
- 34 [43] Hernández, D., B. Riaño, M. Coca & M. C. García-González (2015) Saccharification of
35 carbohydrates in microalgal biomass by physical, chemical and enzymatic pre-treatments as a
36 previous step for bioethanol production. *Chemical Engineering Journal*, 262, 939-945.
- 37 [44] Guo, H., H. Chen, L. Fan, A. Linklater, B. Zheng, D. Jiang & W. Qin (2017) Enzymes produced by
38 biomass-degrading bacteria can efficiently hydrolyze algal cell walls and facilitate lipid
39 extraction. *Renewable Energy*, 109, 195-201.
- 40 [45] Angelidaki, I. & W. Sanders (2004) Assessment of the anaerobic biodegradability of
41 macropollutants. *Re/Views in Environmental Science & Bio/Technology*, 3, 117-129.
- 42 [46] Ward, A. J., D. M. Lewis & F. B. Green (2014) Anaerobic digestion of algae biomass: A review.
43 *Algal Research*, 5, 204-214.
- 44 [47] Cirne, D. G., X. Paloumet, L. Björnsson, M. M. Alves & B. Mattiasson (2007) Anaerobic digestion
45 of lipid-rich waste—Effects of lipid concentration. *Renewable Energy*, 32, 965-975.
- 46 [48] Palatsi, J., M. Laureni, M. V. Andrés, X. Flotats, H. B. Nielsen & I. Angelidaki (2009) Strategies for
47 recovering inhibition caused by long chain fatty acids on anaerobic thermophilic biogas reactors.
48 *Bioresour Technol*, 100, 4588-4596
- 49 [49] Moreno-Garcia, L., K. Adjallé, S. Barnabé & G. S. V. Raghavan (2017) Microalgae biomass
50 production for a biorefinery system: Recent advances and the way towards sustainability.
51 *Renewable and Sustainable Energy Reviews*, 76, 493-506.
- 52 [50] Sathish, A., T. Marlar & R. C. Sims (2015) Optimization of a wet microalgal lipid extraction
53 procedure for improved lipid recovery for biofuel and bioproduct production. *Bioresour Technol*,
54 193, 15-24.
- 55 [51] Gerken HG, Donohoe B, Knoshaug EP. 2013. Enzymatic cell wall degradation of *Chlorella vulgaris*
56 and other microalgae for biofuels production. *Planta* 237(1):239–253.
- 57 [52] Eldalatony, M. M., A. N. Kabra, J. H. Hwang, S. P. Govindwar, K. H. Kim, H. Kim & B. H. Jeon
58 (2016) Pretreatment of microalgal biomass for enhanced recovery/extraction of reducing sugars
59 and proteins. *Bioprocess Biosyst Eng*, 39, 95-103.

- 1 [53] Madhy, A., Mendez, L., Ballesteros, M., González Fernández, C. (2016) Protease pretreated
2 *Chlorella vulgaris* biomass bioconversion to methane via semi-continuous anaerobic digestion
3 [54] Mahdy, A., L. Mendez, E. Tomás-Pejó, M. del Mar Morales, M. Ballesteros & C. González-
4 Fernández (2016b) Influence of enzymatic hydrolysis on the biochemical methane potential of
5 *Chlorella vulgaris* and *Scenedesmus* sp. *Journal of Chemical Technology & Biotechnology*, 91,
6 1299-1305.
7 [55] Miao, H., M. Lu, M. Zhao, Z. Huang, H. Ren, Q. Yan & W. Ruan (2013) Enhancement of Taihu blue
8 algae anaerobic digestion efficiency by natural storage. *Bioresour Technol*, 149, 359-66.
9 [56] Lee, W. S., A. S. M. Chua, H. K. Yeoh & G. C. Ngoh (2014) A review of the production and
10 applications of waste-derived volatile fatty acids. *Chemical Engineering Journal*, 235, 83-99.
11 [57] Santos-Ballardo, D. U., S. Rossi, C. Reyes-Moreno & A. Valdez-Ortiz (2016) Microalgae potential
12 as a biogas source: current status, restraints and future trends. *Reviews in Environmental Science
13 and Bio/Technology*, 15, 243-264.
14 [58] Markou, G., I. Angelidaki & D. Georgakakis (2013) Carbohydrate-enriched cyanobacterial biomass
15 as feedstock for bio-methane production through anaerobic digestion. *Fuel*, 111, 872-879.
16 [59] Mussgnug, J. H., V. Klassen, A. Schluter & O. Kruse (2010) Microalgae as substrates for
17 fermentative biogas production in a combined biorefinery concept. *J Biotechnol*, 150, 51-6.
18 [60] Henze, M., Harremoës, P., Jansen, J.L.C., Arvin, E., Springer 2002, Waste water treatment,
19 Biological and chemical processes, s45-50. Berlin, Springer.
20 [61] Siles, J.A., Brekelmans, J., Martin, M. A., Chicas, A. F., Martin, A., 2010. Impact of ammonia and
21 sulphate concentration on thermophilic anaerobic digestion. *Bioresour. Technol.* 101, 9040-
22 9048.
23 [61] Cabanelas, I. T. D., Z. Arbib, F. A. Chinalia, C. O. Souza, J. A. Perales, P. F. Almeida, J. I. Druzian
24 & I. A. Nascimento (2013) From waste to energy: Microalgae production in wastewater and
25 glycerol. *Applied Energy*, 109, 283-290
26 [62] Guo, Z., Y. Liu, H. Guo, S. Yan & J. Mu (2013) Microalgae cultivation using an aquaculture
27 wastewater as growth medium for biomass and biofuel production. *J Environ Sci (China)*, 25
28 Suppl 1, S85-8.
29 [63] Komolafe, O., S. B. Velasquez Orta, I. Monje-Ramirez, I. Y. Noguez, A. P. Harvey & M. T. Orta
30 Ledesma (2014) Biodiesel production from indigenous microalgae grown in wastewater.
31 *Bioresource Technology*, 154, 297-304
32 [64] Gouveia, L., S. Graça, C. Sousa, L. Ambrosano, B. Ribeiro, E. P. Botrel, P. C. Neto, A. F. Ferreira &
33 C. M. Silva (2016) Microalgae biomass production using wastewater: Treatment and costs:
34 Scale-up considerations. *Algal Research*, 16, 167-176
35 [65] Wang, M. & C. Park (2015) Investigation of anaerobic digestion of *Chlorella* sp. and *Micractinium*
36 sp. grown in high-nitrogen wastewater and their co-digestion with waste activated sludge.
37 *Biomass and Bioenergy*, 80, 30-37.
38 [66] Mahdy, A., Fotidis, I.A., Mancini, E., Ballesteros, M., González-Fernández, C., Angelidaki, I. (2017)
39 Ammonia tolerant inocula provide a good base for anaerobic digestion of microalgae in third
40 generation biogas process. *Bioresource Technology* 225: 383-278
41 [67] Nakakubo, R., Moller, H.B., Nielsen, A.M., Matsuda, J. (2008) Ammonia inhibition of
42 methanogenesis and identification of process indicators during anaerobic digestion. *Environ.
43 Eng. Sci.* 25, 1487-1496
44 |
45
46
47
48
49
50
51
52

1

2 **Table 1.** Summary of pretreatments applied before anaerobic digestion of microalgae biomass

Pretreatment used	Microalgae species used	Conditions	Methane yield increased (%)	References
Thermal	<i>Chlorella</i> sp.	70°C for 0.5 h	37	(Wang et al. 2017)
		90°C for 0.5 h	48	
		121°C for 0.3 h	108	
Thermal	<i>Scenedesmus</i>	80°C; 1.6-fold	Not appreciated	(Gonzalez-Fernandez et al. 2012)
Thermal	<i>Monoraphidium</i> sp	95°C for 10 h	72	(Passos et al. 2015)
	<i>Stigeoclonium</i> sp	130°C for 0.25 h	28	(Passos et al. 2015)
Thermo-alkaline	<i>Scenedesmus</i> sp.	96h at 25 °C and 24 h at 55 and 72 °C	25	(Solé-Bundó et al. 2017)
Thermo-alkaline	<i>Chlorella</i> sp.	CaO concentrations (0, 4 and 10%)	Not appreciated	(Mahdy et al. 2014a)
	<i>Scenedesmus</i> sp.	0.5, 2 and 5% w/w NaOH dosages		
Ultrasound	<i>Scenedesmus</i>		19,27	(Luo et al. 2014)
Ultrasound	<i>Monoraphidium</i> sp	70 W; 30 min; 26.7 MJ/kg TS	Not appreciated	(Passos et al. 2015)
	<i>Stigeoclonium</i> sp			
Ultrasound	<i>Scenedesmus</i>		Not appreciated	(Gonzalez-Fernandez et al. 2012)
	<i>Stigeoclonium</i> sp			
Microwave irradiation	<i>Monoraphidium</i> sp	900 W; 3 min; 34.3 MJ/kg TS	21	(Passos et al. 2015)
Biological	<i>Chlorella vulgaris</i>	Cellulase-secreted bacteria	Not appreciated	(Kavitha et al. 2017)
Biological	<i>Chlamydomonas reinhardtii</i>	Viscozyme L	1.17-fold	(Mahdy et al. 2014b)

3

4

5