1	Optimization of Sodium Hydroxide Pretreatment Conditions to Improve Biogas Production from
2	Asparagus Stover
3	Chen Sun, Ronghou Liu <sup>*</sup> , Weixing Cao, Kun Li, Lijuan Wu
4	(Biomass Energy Engineering Research Centre, School of Agriculture and Biology,
5	Shanghai Jiao Tong University, 800 Dongchuan Road, Minhang District, Shanghai 200240, P.R.China)
6	Abstract
7	Alkaline pretreatment was employed to enhance biogas production from asparagus stover with
8	anaerobic digester in laboratory scale batch fermentation. Different pretreatment times (10 d, 18 d, 25 d),
9	NaOH concentrations (2.5%, 5%, 7.5%), and water dose (20 mL, 60 mL, 100 mL) were tested to select
10	the best pretreatment conditions. With Response Surface Method (RSM) applied, the optimum
11	pretreatment conditions were pretreatment time of 19d, NaOH concentration of 4.2%, water dose of
12	74g.The biogas yield was predicted as 275.65 mL/g VS, while it was observed as 277.86 mL/g VS in the
13	verification test, with the relative error of 0.80%. Further more, the verification tests show that contents of
14	hemi-cellulose, cellulose and lignin after pretreatment were decreased by 65.20%, 29.06% and 13.51%,
15	respectively. The above results suggest that the effects of NaOH on degradations of hemi-cellulose and
16	cellulose are higher than that on lignin.
17	Keywords: Alkaline pretreatment, Agricultural waste, Anaerobic digestion, Response surface method
18	1. Introduction
19	As a source of clean energy and a competitive way of dealing with organic waste, biogas
20	fermentation has long been considered bearing immense development potential in China, especially in
21	rural areas, where agricultural waste is abundant and even superfluous. For an example, the annual yield

<sup>\*</sup> Corresponding author, Ronghou Liu, Telephone (Fax): 0086 21 34205744. Email address: liurhou@sjtu.edu.cn.

of straw in China is about  $6.81 \times 10^9$  ton<sup>[1]</sup>. But merely a small proportion of this sort of waste is handled 22 23 and disposed properly such as converting into biomass energy, composting, and paper making. Most of the straws and stalks are incinerated or air-dried in the open air just for saving time and labor<sup>[1, 2]</sup>. 24 25 Similarly, the amount of asparagus stover generated in the planting base, Chongming Island, Shanghai, 26 China is estimated to around  $1 \times 10^3$  ton per year. But without a proper disposal method, this agriculture 27 waste is simply piled up on the side of country road, giving out bad smell after rotting naturally. 28 Among several ways of waste recycling, anaerobic digestion (AD) can not only yield biogas, 29 the comparatively clean fuel with methane as the major gas, but also produce solid and liquid fertilizers. It 30 is an ideal waste management method which combines waste reducing, recycling and reusing into one process<sup>[3]</sup>. Due to some technological and historical problems, the commercial production has not been 31 32 completely realized so far. Nowadays, household biogas, the most feasible and prevalent biogas production pattern in rural China, accounts for only about 19% of the biogas potential of the country <sup>[2]</sup>. 33 34 The physico-chemical structure of lignocellulosic agricultural wastes slows down the hydrolysis rate 35 during AD. One method to overcome the technological obstacle is applying pretreatment, so as to obtain 36 more hydrolytic products for subsequent biogas production. Pretreatment can help to break up the 37 stubborn physical structure, dissolve the linear and nonlinear macromolecules and therefore improve the 38 biodegradability of lignocellulosic materials. At present, the pretreatment methods include physical, chemical, biological and mixed ones<sup>[4]</sup>. Unlike physical and biological methods, chemical pretreatment is 39 40 comparatively effective with relative low cost. Among several kinds of chemical pretreatment such as 41 acidic, alkaline and oxidized ones, alkaline pretreatment represented by sodium hydroxide pretreatment gains more and more attention because of its operability<sup>[5]</sup>. 42

43	During alkaline pretreatment, the first reactions are solvation and saponification. In this process, the
44	raw material is swollen, thus making it more accessible to microorganisms. Then, if with a relatively high
45	concentration of alkali, the reaction of "peeling" end-groups, alkaline hydrolysis, and polysaccharides
46	decomposition will carry on. And these reactions will greatly contribute to the later conversion <sup>[4]</sup> .
47	Pavlostathis and Gossett (1985) reported a 100% increase in methane production from wheat straw
48	brought by alkaline pretreatment <sup>[6]</sup> . He and Pang demonstrated that the biogas yield of rice straw (in the
49	solid state) with 6% NaOH pretreatment was increased by 27.3-64.5% <sup>[7]</sup> . Also, a degradation of 16.4%
50	cellulose, 36.8% hemicellulose and 28.4% lignin as well as an increase of 122.5% in water-soluble
51	substances were observed. Also, Zhu and Wan mentioned a 37.0% higher biogas yield of corn stover with
52	5% NaOH-pretreatment than that of the control <sup>[14]</sup> .
53	Currently, there are two categories of criterions for assessing the alkaline pretreatment effects. One is
54	detecting the degradation and decomposition level of lignocelluloses, as well as the increasing level of
55	soluble substance. In conducting this sort of valuation, the content and physicochemical characterization
56	changes of lignin, hemicellulose, cellulose, and monosaccharide in raw material should be investigated.
57	The other is linear comparison of fermentation indicators such as methane or biogas yield during
58	subsequent AD between the treated and untreated. By combining the assessing criterions with scientific
59	tests design methods, the optimal condition of alkaline pretreatment for lignocellulosic waste can be
60	revealed.

Response surface method (RSM) is collection of mathematical and statistical techniques, which can
be used in designing the tests, building models, evaluating significance of independent variables, and
optimizing conditions for desirable responses.<sup>8</sup> It has been applied in optimizing AD conditions of

methane/hydrogen production from waste water and sludge <sup>[21,22]</sup>, pretreatment conditions of certain kinds of wastes <sup>[23,24]</sup>, the culture medium conditions of culturing anaerobic microorganism <sup>[25]</sup> and so on. Often, RSM is conducted after the 'change-one-factor-at-a-time' method, in which the ranges of independent variables can be roughly given out when the peak response value turns up. Later, these ranges of variables will be selected to design multi-factor tests, take the RSM for example, to show the best conditions of the variables whether they interact with each other or not.

Our previous study of 'change-one-factor-at-a-time' tests showed that asparagus stover, the hard-to-digest lignocellulosic material, can be used for biogas production after alkaline pretreatment <sup>[9]</sup>. The objectives of our current work were to investigate the interactions among the factors and to optimize conditions of sodium hydroxide pretreatment when asparagus stover sample was used as raw material in order to increase biogas yield. The biogas yield was monitored in batch anaerobic digestion tests on lab level. The effects of different treatment conditions on biogas yield and the optimal condition for sodium hydroxide pretreatment were statistically evaluated by RSM.

### 77 2. Materials and methods

### 78 **2.1 Raw material and inoculum preparation**

The asparagus stover used in the experiments was rejected materials collected from the roadsides of asparagus planting base, located in the town of Gangyan, Chongming Island, Shanghai, P.R.China. Most of the stover was asparagus rhizome, and a small part was stems and leaves. Both of which were naturally air-dried. The stover was firstly grinded by kneading miller; and then, the longer segments were cut into small pieces shorter than 2.5cm. Before pretreatment experiments, the samples were dried in drying oven at 105°C for 6h, making sure its moisture content was less than 0.1%. The inoculum was enriched from anaerobic sludge, which originally came from a pilot scale CSTR reactor treating pig manure in the town of Shuxin, Chongming Island, Shanghai, P.R.China. The inoculum has been acclimated to substrates of asparagus stover in four anaerobic fermentation batch tests previously. The chemical characteristics of asparagus stover (in naturally dried form) and inoculum are shown in Table 1.

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Table 1 The chemical characteristics of asparagus stover and inoculum

items	Asparagus stover	inoculum
Total Solid (wt.% dry basis)	88.12	5.78
Volatile Solid (wt.% dry basis)	80.1	63.62
Total Organic Carbon (wt.% dry basis)	75.1	3.09
Total Nitrogen(wt.% dry basis)	2.88	0.24
Hemi-cellulose(wt.% dry basis)	18.22	-
Cellulose (wt.% dry basis)	33.52	-
Lignin (wt.% dry basis)	11.1	-
pH	-	8.13

### 91 **2.2 Sodium hydroxide pretreatment**

92 20 runs were performed in the pretreatment process. According to the tests design, each treatment 93 involves a corresponding amount(20 mL, 60 mL, or 100 mL) of distilled water, an according 94 amount(2.654 g, 5.263 g, and 8.108 g) of sodium hydroxide solid, and 100 g total solid (TS). The amount 95 of distilled water (water dose) stands for the moisture content in the pretreatment experiment. And the NaOH concentration means the amount of NaOH quantity per gram TS of the treatment in this study. 96 97 Each treatment was repeated twice. The pretreatment experiments were carried out in 2.5 L plastic 98 buckets, sealed by vaseline and preservative film to avoid moisture change and rot fungi infection, and 99 put in incubator of 25±1°C, which is close to the average value of room temperature.

100 2.3 Biogas production



102	inoculum content is 30% of the fermentation feed liquid. A 6% solid content of the fermentation broth
103	was used in the study. The pH value of the feed liquid was adjusted by acetic acid to 7.2-7.5 prior to
104	fermentation. The reactor was fixed on a constant temp oscillator stirred at 100 rpm to ensure a total
105	mixing and facilitate the diffusion of biogas. When the daily biogas yield is less than 0.1% of the
106	accumulative biogas yield, it is deemed as the termination of fermentation tests. A blank test without
107	asparagus stover is conducted to subtract the biogas generated from dead bacteria of inoculum. The
108	biogas production was calculated into standard volume at STP condition (273.15K, 101.325KPa).
109	2.4 Tests design
110	In this study, 3 independent variations-pretreatment time, NaOH concentration and water dose, were
111	selected. Biogas yield during the anaerobic fermentation was chosen as the dependent variation. In order
112	to determine the sodium hydroxide pretreatment conditions for the maximum production of biogas, the
113	Face-centered Central Composite Design (CCD) was employed. It allows estimating the second-order
114	polynomial of the independent variables regarding to the response, and gives information about the
115	interaction between independent variables in relation to the response. For statistical calculation, the
116	variables were coded according to Eq. A:

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117  $X_i = (x_i - x_0) / \Delta x_i$ (A)

118 where  $X_i$  is the coded value of the independent variable;  $x_i$  is the actual value of the independent 119 value;  $x_0$  is the value of  $x_i$  at the centre point of the investigated area; and  $\Delta x_i$  is the step size of the 120 independent variable. Pretreatment time  $(x_1)$ , NaOH concentration  $(x_2)$ , and water dose  $(x_3)$  were chosen 121 as three independent variables in the experimental design. The range and central point values of the 122 independent variables, which were selected as close as possible to the optimum response values based on

- 123 previous study, are shown in Table 2. And the 20 runs CCD with six replicates of the centre point for
- 124 biogas yield are shown in Table 3.

125

Symbol Coded level Independent variables Uncoded Coded -1 0 +1Pretreatment time/d  $x_1$  $X_1$ 10 18 25 NaOH concentration/% 7.5  $X_2$ 2.5 5  $x_2$ 100 Water dose/mL  $X_3$ 20 60  $x_3$ 

Table 2 Experimental range and central point values of the independent variables

### 126 **2.5 Statistical model**

127 The biogas yield was taken as the dependent variables, namely responses of the test. In order to

128 predict the optimal point and the peak value, the second-order polynomial formulation (Eq. B), was

129 employed to fit the independent variables and the responses.

130 
$$Y = A_0 + A_1 x_1 + A_2 x_2 + A_3 x_3 + A_{12} x_1 x_2 + A_{13} x_1 x_3 + A_{23} x_2 x_3 + A_{11} x_1^2 + A_{22} x_2^2 + A_{33} x_3^2$$
 (B)

The statistical software package Design Expert 7.1.6 (stat-ease, Inc, USA) was employed for data regression analysis. Analysis of variance (ANOVA) was conducted to test the significances of the fitting model, the linear terms, interactive terms and the quadratic terms in the fitting model.

134 2.6 Analytical methods

The biogas produced daily was recorded using water displacement method. The methane content of biogas was analyzed by gas chromatograph (GC-14B, shimadzu, Japan). Hemi-cellulose, cellulose and lignin were measured according to Goering and Van Soest <sup>[10]</sup>. The Total solid and volatile solid were detected according to the Standard Methods (APHA, 1995). The total organic carbon was analyzed by 140 method. The pH value was detected by pH meter (PHS-3C, Leici, Shanghai).

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# Table 3 The experimental design and results for biogas yield

	$X_1$	$X_2$	<i>X</i> <sub>3</sub>	Bioga	s yield
Run	Pretreatment	NaOH	Water dose	Test result	Predicted
	d	%	mL	mL/g VS	mL/g VS
1	-1	1	-1	102.7	91.5
2	-1	-1	1	185.3	188.0
3	0	0	0	243.8	266.8
4	1	1	-1	124.2	114.5
5	-1	1	1	97.4	90.5
6	0	0	0	267.8	266.8
7	0	0	0	290.6	266.8
8	-1	0	0	184	212.4
9	0	-1	0	222.9	239.7
10	0	0	-1	153.6	197.7
11	0	0	0	292.5	266.8
12	0	1	0	178.8	190.8
13	1	-1	-1	124.9	114.8
14	1	0	0	235	235.4
15	1	-1	1	207.3	211.0
16	0	0	1	260.6	245.3
17	0	0	0	301.3	266.8
18	1	1	1	97.8	113.5
19	0	0	0	262.7	266.8

- 142 **3. Results and Discussion**
- 143 **3.1 Statistical Analysis**
- 144 **3.1.1 Model fitting**
- 145 The experimental results of the 20 runs summarized in Table 3 were subjected to regression analysis.
- 146 And Eq. C was obtained by using Eq. B to fit the experimental data.

147 
$$Y = -381.79 + 29.39x_1 + 89.68x_2 + 5.35x_3 - 0.13x_1x_2 - 7.92 \times 10^3 x_1x_3 - 0.24x_2x_3 - 0.763x_1^2 - 8.25x_2^2 - 0.03x_3^2$$

148 (C)

## ANOVA for the response surface quadratic model, for biogas yield were presented in Table 4.

150

Table 4 ANOVA for the response surface quadratic model for biogas yield

Source	Sum of	df	mean	F-value	P-value	significance
Corrected model	89595.2	9	12785.72	14.05	0.0001	**
<i>x</i> <sub>1</sub>	1317.90	1	1317.90	1.86	0.2025	
<i>x</i> <sub>2</sub>	5978.02	1	5978.02	8.44	0.0157	*
<i>x</i> <sub>3</sub>	5664.40	1	5664.40	7.99	0.0179	*
$x_1 x_2$	50	1	50	0.07	0.7959	
$x_1 x_3$	45.125	1	45.125	0.06	0.7959	
<i>x</i> <sub>2</sub> <i>x</i> <sub>3</sub>	4723.92	1	4723.92	6.67	0.0273	*
$x_1^2$	5070.78	1	5070.79	7.16	0.0233	*
$x_2^2$	7319.46	1	7319.46	10.32	0.0093	**
$x_{3}^{2}$	5653.44	1	5653.45	7.98	0.0180	*
Residual	7180.93	10	708.58			
Lack of fit	4775.67	5	936.11	1.95	0.2413	
Pure error	2405.26	5	481.05			

Total	96681.00	19				
SD	26.6192	$\mathbb{R}^2$	0.92671	pred- R <sup>2</sup>	0.6519	
CV/%	13.5184	adj-R <sup>2</sup>	0.8607	Adeq	9.5565	

151	The effects of pretreatment time, NaOH concentration and water dose on biogas yield were
152	examined by ANOVA. The model significance (F-value and p-value) signifies the level of confidence that
153	the selected model doesn't derive from experimental error <sup>[11]</sup> . ANOVA of Eq. (C) indicated that the fitting
154	model was highly significant, as the F-value of 14.05 and the value of 'probability >F' are less than 0.01.
155	The coefficient of determination $(R^2)$ is the proportion of variation in the response due to the fitting model
156	rather than to random error, and it is favorable that the $R^2$ value is above 80% <sup>[12]</sup> . The $R^2$ of Eq. (C) was
157	0.9267, indicating that more than 92.67% variability of the response can be explained by the model. The
158	coefficient of variation (CV) is a ratio of the standard error (SD) to the mean value of the observed
159	responses. If CV is less than 10%, the fitting model is considered reasonably reproducible. And the CV of
160	Eq. (C) is 13.52%, which means the reproduction possibility of the Eq. (C) is 87.48%, a little lower than
161	the criterion. This could be attributed to the experimental errors and implies that the AD system of
162	lignocellulosic material is to some extent lack of stability. However, the F-value and P-value of 'lack of
163	fit' is insignificant relative to pure error. There is a 24.13% chance of a 1.95 'lack of fit F-value'
164	occurring due to noise, which means Eq.(C) is fairly fit.

165 ANOVA also showed the linear effect of  $x_2$  and  $x_3$ , quadratic effect of  $x_1$ ,  $x_2$  and  $x_3$  and the 166 interactive effect between  $x_2$  and  $x_3$  on biogas yield are significant (P <0.05), implying these are key 167 terms to biogas yield and the effects of  $x_1$ ,  $x_2$  and  $x_3$  on biogas yield are more than simple linear relations. 168 However, the linear effect  $x_1$  and interactive effect between  $x_1$ ,  $x_2$  and between  $x_1$ ,  $x_3$  on biogas yield 169 were not significant (P> 0.05), indicating little impact of these terms on biogas yield. Subsequently, the 170 valid terms of Eq. (C) are involving  $x_2$ ,  $x_3$ ,  $x_2x_3$  and  $x_1^2$ ,  $x_2^2$ ,  $x_3^2$ . And for improving the fitting model, Eq.

171 (C) can be reduced to Eq. (D) as below:

172 
$$Y = -361.81 + 28.25x_1 + 87.35x_2 + 5.21x_3 - 0.24x_2x_3 - 0.76x_1^2 - 8.25x_2^2 - 0.03x_3^2$$
 (D)

The F-value and P-value of the items and  $R^2$  indicated that Eq. (D) could describe the effect of pretreatment time, NaOH concentration and water dose on the biogas yield of this study quite well. And The pred- $R^2$  was in reasonable agreement with the adj- $R^2$ . The adeq precision was 9.5565, which measures the signal to noise ratio and it is desirable when greater than 4. The model could be used to navigate the design space in every aspect.

### 178 **3.1.2 Interactive effect of NaOH concentration and water dose on the biogas yield.**

The response surface plots and corresponding contour plots of biogas yield are shown in Figure 1, 2, and 3. These plots are drawn by keeping one variable at its central point level, and varying the others within the experimental range. These results showed that the high biogas yield occur at NaOH concentration around 3.0%-5.8% and water dose>50 mL after pretreated for 14-23 d.





Fig 1 Response plot (a) and corresponding contour plot (b) of the interactive effects of  $x_1$  and  $x_2$  on





193 Fig 3 Response plot (a) and corresponding contour plot (b) of the interactive effects of  $x_2$  and  $x_3$  on

194 biogas yield (fixed  $x_1 = 18 d$ )

As shown in Figure 1, the interaction between NaOH concentration and water dose suggests that in

196 order to obtain the maximum digestibility of the raw material, the NaOH concentration needed in 197 pretreatment system is different under different conditions of water dose, or vice versa. According to 198 Figure 1, at the low level of water dose, the biogas yield was considerably low and it increased first and 199 then decreased with the increased NaOH concentration from 2.5% to 7.5% slightly. But at the high level 200 of water dose, a considerable high biogas yield could be achieved under a relatively low level of NaOH 201 concentration. Besides, with such water dose, high dosage of NaOH reduced the biogas production 202 potential during AD. This may be due to the reasons that high NaOH dosage would inhibit AD because 203 over-high Na<sup>+</sup> level would do harm to microorganisms by disturbing their osmotic pressure balances <sup>[26]</sup>. 204 Similarly, an increase in water dose at the low NaOH concentration led to a distinct increase in biogas 205 yield, whereas the increase of biogas yield at the high NaOH concentration was inferior to the former. 206 Therefore, it could be seen that besides alkaline action on lignocelluloses degradation, H<sub>2</sub>O in the 207 pretreatment system also had positive effect on improving digestibility of lignocelluloses and thereby 208 improving biogas yield. It is unfavorable for pretreating procedure if moisture content is deficient. 209 Sufficient moisture content in pretreatment system would save the alkaline dosage and achieve the similar 210 results for pretreatment and biogas production. In short, at low NaOH concentration, high water dose 211 resulted in more biogas yield; at high water dose, low NaOH concentration promoted biogas yield; high 212 NaOH concentration and low water dose would not benefit biogas yield.

213 **3.2 Optimization analysis** 

For NaOH pretreatment-AD systems, biogas yield is the main target to be maximized. The optimum values of selected variables were determined as pretreatment time 19 d, NaOH concentration 4.2%, and water dose 74 g by regression analysis, which gave rise to maximum biogas yield of 275.65 mL/g VS and desirability of 0.874. The NaOH concentration in this study was agreeable with previous studies. Many literatures reported that 2%~7.5% NaOH concentration is beneficial for lignocellulosic material to decompose <sup>[7,13,14]</sup>, though most raw materials used in previous studies belong to the grass family, such as rice, wheat and corn, while asparagus is a typical plant included in *Asparagaceae*.

Results in this study showed that RSM is effective in optimizing NaOH pretreatment conditions for AD from asparagus stover. But the validity of the quadratic regression model by RSM was merely amenable to the designed range of raw data. It could not be used universally to reckon biogas yield from asparagus stover after NaOH pretreatment. So the scale-up tests determining pretreatment parameters should be conducted in the further studies.

### 226 **3.3 Verification test**

In order to testify the validity of the fitting model and the authenticity of the set of optimized parameters, verification tests were performed in triplicate according to the acquired optimization results and the desirability functions. The test was carried out under pretreatment conditions of 19 d, 4.2% NaOH concentration and 74 g water dose.

The lignocelluloses mass percentage content after pretreatment and biogas fermentation (w/w) were shown in Table 5. Compared with naturally dried raw materials, in which lignocelluloses accounted for 62.84% total weight of the asparagus stover, the lignocelluloses content after pretreatment were merely 39.76%, which means lignocelluloses have been resolved into some soluble saccharide due to NaOH pretreatment <sup>[15]</sup>. And after AD, this content turned out to be 76.19%, which could be attributed to that the resolvable part of the substrate was converted into carbonic gases and volatile fatty acid <sup>[16]</sup>. Consequently, the refractory part of the substrate left took a large weight percentage of the fermented asparagus stover.

238	Furthermore, lignin content after AD took nearly 45% percentage of the total lignocelluloses weight,
239	while this percentage was only 17.66% in naturally dried asparagus stover and nearly 23% after NaOH
240	pretreatment. This phenomenon suggested that NaOH exert limited effect on lignin degradation and AD
241	could hardly utilize the under-degraded lignin, which could be supported by other authors' studies <sup>[17]</sup> .
242	Furthermore, it is reported that the finite soluble part of lignin also would exhibit inhibitory effect on the
243	consequential biogas production <sup>[4]</sup> .

Itoms	Hemi-cellulose	Cellulose	Lignin (ADL)
nems	(wt.% dry basis)	(wt.% dry basis)	(wt.% dry basis)
After pretreatment	6.34	23.78	9.60
After AD	9.62	30.99	35.58

Table 5 The lignocelluloses mass percentage content after pretreatment and biogas fermentation

Figure 4 shows the accumulated biogas yield of AD from asparagus stover pretreated by NaOH. Figure 4 visualized that verification test gained 277.86 mL/g VS. This value was close to the predicted value of 275.65 mL/g VS with the relative error of 0.80%, which verified the validity of the fitting model Eq C.



249

250	Fig 4 The daily and accumulated biogas yields of AD from asparagus stover pretreated by NaOH
251	Methane production from AD involves a series of five different groups of anaerobic microorganisms,
252	among which one group utilizes metabolic products of other groups and therefore they jointly form a food
253	web <sup>[18]</sup> . With $CH_4$ , $CO_2$ and some trace gas as the final product, the mixture of microorganisms in AD
254	can theoretically realize entire degradation of organic waste, even including some inhibiting compounds
255	such as furfural and soluble lignin, if only they are not in too high concentration <sup>[4]</sup> . The complicated
256	metabolic reactions of the five different groups of anaerobic microorganisms can be divided into four
257	main stages, namely, hydrolysis, acidogenesis, acetogenesis and methanogenesis <sup>[19]</sup> .
258	In the hydrolysis stage, macromolecules are decomposed into oligomers and monomers, typically
259	including monosaccharide from polysaccharide, amino acid from protein, glycerol and fatty acid from
260	lipid and so on. As the metabolism carrying on, these oligomers and monomers are converted into volatile
261	products like propionate, butyrate, ethanol, etc. Since most of these products are classified as volatile fatty
262	acid (VFA), which may cause the pH value of the fermentation broth dropped sharply, the second stage is
263	called acidogenesis. During the third stage, acetate is generated in great amount, which will account for
264	more than 80% of the VFA in the fermentation broth <sup>[20]</sup> . Simultaneously, hydrogen is generated as an
265	important metabolic intermediate which is one of the substrates to form the final products CH <sub>4</sub> in the last
266	stage of methanogenesis, otherwise to form $H_2$ due to pH value or heat inhibition, in an occasion that
267	methane producing procedure is interrupted. When the process comes to methanogenesis, the formation
268	of methane and carbon dioxide are taking place, and little bubbles can be observed in the fermentation
269	liquid.

270

In this study, during fermentation, the second period of rapid biogas production proceeded about 15

271 days after the first period of rapid biogas production. Both of which were characterized by a 272 comparatively greater slope of the accumulated biogas yield curve. In the time slot between the two 273 periods, besides the daily biogas yield was at a standstill, the pH value dropping was simultaneously 274 observed, which was caused by acidogenesis and the result was presented in Figure 5. The pH value 275 dropped abruptly to 6.47 during the first 9 fermentation days and it did not return to the agreeable value 276 of above 7 for biogas fermentation until the 21<sup>st</sup> day. Consequently, the lag of pH value reverting to the 277 normal value during biogas fermentation postponed the start-up of AD and thereafter would cause the 278 fermentation period in industrious production prolonged.





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Fig 6 Variation of methane content of biogas during AD from asparagus stover

#### **4. Conclusions**

290 This study focused on applying the RSM to optimize conditions of NaOH pretreatment on asparagus 291 stover, so as to improve its biogas yield during AD. Based on the central faced CCD, the optimized NaOH 292 pretreatment conditions were determined as pretreatment time of 19d, NaOH concentration of 4.2%, 293 water dose of 74g. And at the optimized conditions, the maximum biogas yield of 277.86 mL/g VS was 294 acquired in verification test with the relative error of 0.80% compared with the predicted value of 275.65 295 mL/g VS. This indicated the fact that the quadratic model could be applied to predict the biogas yield 296 from asparagus stem after NaOH pretreatment. The high correlation between the predicted and tested 297 values indicates the validity of the fitting model. The results suggest that RSM offers an efficient and 298 feasible approach for optimizing NaOH pretreatment parameters and as a result improving biogas yield 299 during AD from some refractory agricultural waste.

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