

1 Development of a Green Ultrasound-Assisted Process for the Preparation of Antioxidant and 2 Pigment-Enriched Extracts from Winery Solid Wastes Using Box-Behnken Experimental 3 Design and Kinetics

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18 **Running head title:** Green extraction of winery waste polyphenols
19

20 Abstract

21 Red grape pomace, an abundant wine industry solid waste, was used as raw material for the recovery of
22 polyphenols and anthocyanin pigments, using ultrasound-assisted solid-liquid extraction and an extraction medium
23 free from organic solvents, composed of water and glycerol. Glycerol concentration (C_{gl}) and liquid-to-solid ratio
24 ($R_{L/S}$) were first optimised employing Box-Behnken experimental design and then extraction was further examined
25 through kinetics. The optimal conditions were found to be $C_{gl} = 90\%$ (w/v) and $R_{L/S} = 90 \text{ mL g}^{-1}$, and under these
26 conditions the extraction of total polyphenols and total pigments was shown to obey first-order kinetics. Maximal
27 effective diffusion (D_e) values were 4.22×10^{-12} and $12.59 \times 10^{-12} \text{ m}^2 \text{ s}^{-1}$, for total polyphenols and total pigments,
28 respectively, while the corresponding activation energies (E_a) were 13.94 and 8.22 kJ mol^{-1} . Temperature
29 positively affected the antioxidant activity and the extract obtained at 80 °C displayed reducing power of 368.8
30 μmol ascorbic acid equivalents per g of dry pomace weight.

31
32 **Keywords:** Antioxidants; Box-Behnken design; glycerol; kinetics; pigments; polyphenols; red grape pomace;
33 winery waste
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37 **Nomenclature**

- 38 AED, acoustic energy density (W L^{-1})
39 C_{gl} , glycerol concentration (% w/v)
40 C_{TP} , total polyphenol concentration (mg GAE L^{-1})
41 D_{e} , effective diffusion coefficient ($\text{m}^2 \text{s}^{-1}$)
42 E_{a} , activation energy (kJ mol^{-1})
43 k , extraction rate constant (min^{-1})
44 k_0 , temperature-independent factor (min^{-1})
45 P_{R} , reducing power ($\mu\text{mol AAE g}^{-1}$)
46 R , universal gas constant ($\text{J K}^{-1} \text{mol}^{-1}$)
47 r , particle radius (m)
48 $R_{\text{L/S}}$, liquid-to-solid ratio (mL g^{-1})
49 t , time (min)
50 T , temperature ($^{\circ}\text{C}$ or K)
51 Y_{TP} , yield in total polyphenols (mg GAE g^{-1})
52 Y_{TPm} , yield in total pigments (mg MvE g^{-1})
53 $Y_{\text{TP(s)}}$, yield in total polyphenols at saturation (mg GAE g^{-1})
54 $Y_{\text{TPm(s)}}$, yield in total pigments at saturation (mg MvE g^{-1})

55

56 **Greek letters**

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- 58 ϵ , molar absorptivity ($\text{M}^{-1} \text{cm}^{-1}$)

59

60 **Abbreviations**

61

- 62 AAE, ascorbic acid equivalents
63 GAE, gallic acid equivalents
64 MvE, malvidin 3-*O*-glucoside equivalents
65 MW, molecular weight
66 RGP, red grape pomace
67 TP, total polyphenols
68 TPm, total pigments
69 TPTZ, 2,4,6-tripyridyl-*s*-triazine
70 Y, yield

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82 **Introduction**

83 An enormous amount of biomass, which mounts up to the sum of billion metric tonnes, is generated on an annual
84 basis from the agricultural industry worldwide. This biomass includes liquid and solid residues and may be
85 considered one of the most abundant, cheap and renewable resources [1]. Agri-food wastes and by-products, if not
86 managed properly, can cause severe environmental risks; hence their efficient valorisation for the production of
87 value-added commodities is of undisputed importance towards the development of sustainable and cleaner
88 processes. In this line, economically viable and environmentally rational strategies are increasingly adopted by the
89 agri-food industry to ensure full exploitation of the residual materials and implement “zero waste” policies.

90 Wine production is a significant sector of the agricultural economy for many countries around the globe
91 and the winemaking process involves discarding of large amounts of solid residues, such as pomace, stalks and
92 lees. Compared with other abundant similar waste materials, red grape pomace (RGP) contains a particularly high
93 burden of valuable substances, namely polyphenols [2], which include the red, water-soluble, anthocyanin
94 pigments. Polyphenols and pigments are high value-added substances, as they possess variable bioactivities [3, 4],
95 but they are also technologically important as food pigments and antioxidant/antimicrobial preservatives [5].

96 Thus RGP, owed to its abundance, may be regarded as a prime source of polyphenolic antioxidants and
97 pigments and for this reason numerous investigations have been carried out for the efficient and cost-effective
98 recovery of the aforementioned constituents. The method of preference is solid-liquid extraction, deployed usually
99 following a drying step of the raw material, yet the significance of a wide spectrum of these studies would not go
100 beyond laboratory-scale level. This is because the solvents tested to achieve high recovery yields are toxic and/or
101 highly flammable (methanol, acetone, ethyl acetate), or expensive due to restrictions arising from State laws
102 (ethanol) and therefore completely incompatible with a prospect industrial, “green” extraction process.

103 Complete removal of these solvents from extracts destined for food, cosmetic or pharmaceutical
104 formulations would inevitably raise issues pertaining to strict quality control, recycling and appropriate safe
105 handling, with an increased associated cost. Hence the search for inexpensive extraction media for the recovery of
106 polyphenolic phytochemicals should embrace methodologies in the direction of ascertaining production of novel
107 formulations without further generation of waste. In this line, the use of low-cost, non-toxic solvent systems for the
108 recovery of target compounds becomes imminent.

109 Recently, there has been a study reporting on the efficiency of water/glycerol mixtures to extract
110 polyphenolic components from plant material [6, 7]. It was shown that incorporation of relatively low amounts of
111 glycerol (10%, w/v) into water, in combination with moderately high temperature (70 - 80 °C), enabled very
112 satisfactory recovery yields. This was attributed to the low dielectric constant of glycerol, which could lower
113 water's polarity, thus facilitating the extraction of relatively low-polarity molecules, such as polyphenols. On such
114 a ground, the investigation presented herein aimed at optimising polyphenol extraction from RGP, using
115 water/glycerol mixtures, with the view of developing a “green” procedure, free from organic solvents, e.g.
116 methanol or ethanol. The process was developed on the basis of an ultrasound-assisted extraction technique, by a
117 two-step procedure; first, optimisation of critical parameters including glycerol concentration and liquid-to-solid
118 ratio by deploying a Box-Behnken experimental design; and second, critical assessment of the effect of
119 temperature, through kinetics.

121 **Materials and methods**

123 **Chemicals and reagents**

125 Ferric chloride hexahydrate was from Acros Organics (New Jersey, U.S.A.). Gallic acid, ascorbic acid, Folin-
126 Ciocalteu reagent and 2,4,6-tripyridyl-*s*-triazine (TPTZ) were from Sigma-Aldrich (Steinheim, Germany). Glycerol
127 and absolute ethanol were from Fisher Scientific (New Jersey, U.S.A.).

129 **Red grape pomace (RGP)**

131 Pomace originating from vinification of Agiorgitiko variety (*Vitisvinifera* spp.) was kindly provided by the
132 Department of Food Science & Human Nutrition, Agricultural University of Athens. The pomace was dried in an
133 oven at 65 °C for 48 h and then pulverized into a fine powder in a laboratory mill (approximate mean particle size
134 0.3 mm). The pulverized material was kept at – 20 °C until used.

137 Batch ultrasound-assisted extraction procedure for the response surface assay

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139 For the Box-Behnken experimental design, an appropriate amount of RGP was mixed with 5 mL aqueous glycerol
140 of defined concentration (**Table 1**), in a 15-mL plastic tube. The mixture was vortexed for a few seconds to form
141 slurry and then subjected to extraction in a temperature-controlled, sonication bath (Elma P70, Singer, Germany),
142 at a fixed power of 140 W, a frequency of 37 kHz, and an acoustic energy density (AED) of 35 W L⁻¹, for 60 min.
143 All extractions were carried out at 45 °C.

144

145 Batch ultrasound-assisted extraction procedure for the kinetic assay

146

147 Extractions were carried out in plastic containers, using 100 mL of 90% (w/v) aqueous glycerol and an RGP
148 amount to provide a liquid-to-solid ratio of 90 mL g⁻¹. Ultrasound-assisted extractions were performed as above, at
149 50, 60 and 80 °C. Sampling was accomplished at predetermined intervals. Samples were placed in 1.5-mL
150 Eppendorf tubes and centrifuged in a table centrifugator (Hermle, Wehingen, Germany) at 10,000 rpm for 10 min.
151 The clear solution was used for further analysis.

152

153 Determination of total polyphenol yield (Y_{TP})

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155 A previously described protocol was used [7]. Briefly, 0.78 mL of distilled water, 0.02 mL of sample and 0.05 mL
156 of Folin-Ciocalteu reagent were added and vortexed. After exactly 1 min, 0.15 mL of aqueous sodium carbonate
157 20% was added, and the mixture was vortexed and allowed to stand at room temperature in the dark, for 60 min.
158 The absorbance was read at 750 nm in a Rayleigh 7220G spectrophotometer (Beijing, P.R. China), and the total
159 polyphenol concentration (C_{TP}) was calculated from a calibration curve, using gallic acid as a standard. Yield in
160 total polyphenols (Y_{TP}) was determined as mg gallic acid equivalents (GAE) per g of dry weight (dw), using the
161 following equation:

162

$$163 \quad Y_{TP} (mg \text{ GAE } g^{-1} dw) = \frac{C_{TP} \times V}{m} \quad (1)$$

164 Where V is the volume of the extraction medium (L) and m the dry weight of RGP (g).

165

166 Determination of total pigment yield (Y_{TPm})

167

168 A previously reported methodology was employed [8]. Briefly, 0.1 mL of sample was mixed with 0.9 mL of HCl
169 solution (0.25 M in ethanol) and the mixture was left to equilibrate for 10 min. The absorbance at 520 nm (A_{520})
170 was obtained with 0.25 M HCl in ethanol as blank and the total pigment yield was determined as mg malvidin 3-*O*-
171 glucoside equivalents (MvE) per g of dry RGP weight, using as $\epsilon = 28,000$ and $MW = 529$ [9], as follows:

172

$$173 \quad Y_{TPm} \text{ (mg MvE } g^{-1} \text{ dw)} = \frac{18.9 \times A_{520} \times V \times F_D}{m} \quad (2)$$

174

175 Where V is the volume of the extraction medium (L), m the dry weight of RGP (g) and F_D the dilution factor.

176

177 Determination of the reducing power (P_R)

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179 Determinations were performed according to a previously established protocol [7]. Sample (0.05 mL) was mixed
180 thoroughly with 0.05 mL $FeCl_3$ solution (4 mM in 0.05 M HCl), and incubated for 30 min in a water bath at 37 °C.
181 Following this, 0.9 mL TPTZ solution (1 mM in 0.05 M HCl) was added, and the absorbance was recorded at 620
182 nm after exactly 5 min. P_R was determined as μmol ascorbic acid equivalents ($\mu\text{mol AAE}$) per g of dry weight.

183

184 Box-Behnken experimental design and response surface methodology

185

186 A Box-Behnken experimental design was implemented to determine the optimal extraction conditions for
187 obtaining the highest extraction yield in polyphenols and pigments. The independent variables chosen were liquid-to-
188 solid ratio (R_{LS}) and glycerol concentration (C_{gl}). The two independent variables were coded at three levels, -1, 0
189 and 1 (**Table 1**), according to the following equation:

190

191
$$x_i = \frac{X_i - X_0}{\Delta X_i}, x_i = 1, 2 \quad (3)$$

192

193 Where x_i and X_i are the dimensionless and the actual value of the independent variable i , X_0 the actual value of the
194 independent variable i at the central point and ΔX_i the step change of X_i corresponding to a unit variation of the
195 dimensionless value. Responses (Y_{TP} , Y_{TPm}) at each design point were recorded (**Table 2**).

196 The data obtained were subjected to regression analysis using least square methodology, to extract the
197 equations that provided the response values as a function of the independent variables (mathematical model).
198 Analysis of variance (ANOVA) was used to assess the statistical significance of the model. Insignificant dependent
199 terms ($p > 0.05$) were omitted from the models obtained, through a “backward elimination” process. Contour plots
200 were obtained using the fitted model, by maintaining the independent variables simultaneous.

201

202 Statistical analysis and extraction kinetics

203

204 Extractions were repeated twice and all determinations were carried out in triplicate. The values obtained were
205 averaged. Box-Behnken experimental design and response surface statistics were performed with JMP™ 10.
206 Kinetics was established by non-linear regression between Y and t . Linear and non-linear regressions were
207 performed with SigmaPlot™ 12.0, at least at a 95% significance level.

208

209 **Results and discussion**

210

211 Response surface optimisation

212

213 A 2-factor,3-level Box-Behnken experimental design was used to optimize the extraction of polyphenols and
214 pigments from RGP and thus the responses considered were Y_{TP} and Y_{TPm} . Response values as a function of
215 simultaneous variation in both independent variables ($R_{L/S}$ and C_{gl}) were given as contour plots (**Fig. 1**). The
216 ANOVA analysis revealed that for both Y_{TP} and Y_{TPm} , quadratic effects of either $R_{L/S}$ or C_{gl} were not statistically
217 significant. The same held true for cross product terms. Following removal of the non-significant terms, the

218 mathematical models (equations) obtained were those seen in **Table 3**. Values of the independent variables, along
219 with the measured and predicted values for the responses for each point of the experimental design, are analytically
220 presented in **Table 2**. Model fitting was assessed using the square coefficients of correlation (R^2), which for both
221 TP and TPm extractions were ≥ 0.96 ($p < 0.01$), indicating a statistically significant agreement between the
222 observed and predicted responses and that the equations in **Table 3** can reliably predict the experimental results.

223 The use of the predictive models enabled the theoretical calculation of the optimal set of conditions, which
224 are shown in **Table 4**. It can be seen that for maximising both Y_{TP} and Y_{TPm} , a C_{gl} of 90% (w/v) was required,
225 while regarding optimal $R_{L/S}$, a slight difference was observed. In order to select common optimal conditions for
226 achieving concurrent maximisation of both Y_{TP} and Y_{TPm} , the desirability function was utilised. It was found that an
227 optimum value of 0.93 desirability was achieved by setting $C_{gl} = 90\%$ (w/v) and $R_{L/S} = 90 \text{ mL g}^{-1}$ (**Fig. 1**). At those
228 optimal recommended settings, it was predicted that the average maximum Y_{TP} and Y_{TPm} would be $11.84 \pm 1.09 \text{ mg}$
229 $\text{GAE g}^{-1} \text{dw}$ and $0.91 \pm 0.09 \text{ mg MvE g}^{-1} \text{dw}$, respectively.

230 The determination of the optimal $R_{L/S}$ is of undisputed importance in order to attain the maximum
231 extraction yield and generally the higher the $R_{L/S}$, the higher the yield [10, 11]. Although much lower $R_{L/S}$ have
232 been proposed for the extraction of RGP polyphenols, ranging from 3 mL g^{-1} [12] to 8.7 mL g^{-1} [13], high yields in
233 anthocyanins and polyphenols were obtained using $R_{L/S}$ of $80 - 100 \text{ mL g}^{-1}$ [14, 15]. The driving force during mass
234 transfer is the concentration gradient between the solid and the bulk of the liquid, which is greater when a
235 higher solvent-to-solid ratio is used. When the amount of liquid phase compared with that of the dispersed phase is
236 not sufficient to obtain adequate transfer, various equilibria may take place, leading to a non-negligible resistance to
237 mass transfer. Therefore it is crucial to have a well-defined $R_{L/S}$ in order to achieve sufficient mixing and thus high
238 diffusion rate of the solute during the extraction process [16].

239 The higher extraction yield seen by increasing C_{gl} may be mostly ascribed to the polarity of glycerol. It has
240 been argued that addition of glycerol to water would favour the solubilisation of relatively low-polarity molecules,
241 such as polyphenols, because of glycerol's lower polarity [7]. This is consistent with findings supporting that
242 polyphenols may be easily solubilised in polar protic media, such as hydroethanolic and presumably,
243 hydroglycerolic mixtures, although it has also been emphasised that the solubility of phenols in different solvents
244 cannot be based on their polarities; solubility is a complicated phenomenon, governed by other parameters, such

245 asthe stereochemistry of phenols (the polar and the non-polar fragmentson the molecule) and the intermolecular
246 forces (mainly hydrogen bonds) between them and the solvent[17].

247
248 Extraction kinetics and the effect of temperature

249
250 RGP extractions were performed using the optimised conditions, that is $C_{gl} = 90\%$ (w/v) and $R_{L/S} = 90 \text{ mL g}^{-1}$. The
251 model best fitted to the extraction kinetics using non-linear regression between Y_{TP} and Y_{TPm} values, and t (**Fig. 2**),
252 was a 2-parameter, single exponential rise-to-maximum, described by the equation:

253
254
$$y = a(1 - e^{-bx}) \quad (4)$$

255
256 For both Y_{TP} and Y_{TPm} and for all temperatures tested, fitting was high and statistically significant ($R^2 > 0.97$, $p <$
257 0.0001). This suggested that extraction yield for TP and TPmas a function of t can be adequately predicted by the
258 eq. (4), which represents first-order kinetics, considering the boundary conditions $t = 0$ to t and $Y_t = 0$ to Y_t :

259
260
$$Y_t = Y_s(1 - e^{-kt}) \quad (5)$$

261
262 Where Y_t is the extraction yield at any time t , Y_s the extraction yield at saturation (equilibrium) and k the apparent
263 first-order extraction rate constant. Both Y_s and k values were calculated by non-linear regression, using
264 SigmaPlot™ 12.0.

265 Rearrangement of eq. (5) would give:

266
267
$$\ln\left(\frac{Y_s}{Y_s - Y_t}\right) = kt \quad (6)$$

268 Based on Fick's second law, the mathematical expression that links Y and the effective diffusion of the solute
269 (polyphenols/pigments) can be described as follows [18]:

270
271
$$\frac{Y_t}{Y_s} = 1 - \frac{6}{\pi^2} \sum_{n=1}^{\infty} \frac{1}{n^2} e^{-\frac{D_e n^2 \pi^2 t}{r^2}} \quad (7)$$

272 Where D_e is the effective diffusion coefficient ($\text{m}^2 \text{s}^{-1}$), and r the radius of the RGP particle (m). However, after the
273 elapse of a short extraction period, only the first term of the series solution is considered significant, hence eq. (7)
274 can be written as:

275

$$276 \quad 1 - \frac{Y_t}{Y_s} = \frac{6}{\pi^2} e^{-\frac{D_e \pi^2 t}{r^2}} \quad (8)$$

277

278 The linearized form of eq.(8) would be:

279

$$280 \quad \ln\left(\frac{Y_s}{Y_s - Y_t}\right) = \ln\frac{\pi^2}{6} + \frac{D_e \pi^2 t}{r^2} \quad (9)$$

281

282 The D_e coefficient can then be calculated graphically, from the slop of the straight line (slope $=\frac{D_e \pi^2}{r^2}$), obtained
283 after plotting $\ln\left(\frac{Y_s}{Y_s - Y_t}\right)$ against t .

284 The above considerations regarding diffusion were admitted, assuming that:

- 285 1. Polyphenols and pigments were homogeneously distributed within the solid particles.
- 286 2. The particles were considered as being spherical.
- 287 3. The diffusion coefficient remained constant throughout the extraction process.
- 288 4. The solution was perfectly mixed upon the energy dissipated by the ultrasonic waves.
- 289 5. Resistance to mass transfer was negligible in the liquid phase.
- 290 6. The transport of polyphenols/pigments from the solid particles into the liquid phase occurred through
291 diffusion; diffusion of polyphenols and pigments proceeded simultaneously without interactions between
292 them.

293 On such a theoretic basis, the kinetic parameters deriving from engineering the extraction process for both TP and
294 TPm, were determined and analytically presented in **Table 5**. Raising the temperature from 50 to 80 °C had a
295 positive effect on both $Y_{\text{TP(s)}}$ and $Y_{\text{TPm(s)}}$, provoking corresponding increases by 2.63 and 1.14 times. The effect of
296 temperature on the extraction of RGP in several instances is positive [13, 19, 20], since higher temperatures
297 facilitate polyphenol diffusion and increase solubility [17, 21]. Furthermore, it has been demonstrated that

298 solubilisation of catechin, an abundant RGP constituent, is endothermic and thus thermodynamically favoured at
299 higher temperatures [22]. Similar phenomena may hold true for other RGP constituents too. On the other hand,
300 temperature cannot be increased beyond certain limits, as this has been proven detrimental to anthocyanins,
301 inducing their thermal degradation [23, 24].

302 The maximum $Y_{TP(s)}$, achieved at 80 °C, was 66.70 mg GAE g⁻¹dw. This level is much higher than 0.32 mg
303 GAE g⁻¹fw reported for UAE of polyphenols from RGP using water [25] and 7.7mg GAE g⁻¹dw, reported for UAE
304 of polyphenols from RGP using 50% ethanol [26]. However, yields as high as 72.60 mg GAEg⁻¹fw [9], 55.00 mg
305 GAE g⁻¹dw [12] and 31.69 mg GAE g⁻¹dw [19] were achieved with conventional extraction techniques, using 57%
306 ethanol, 66% ethanol and subcritical water, respectively. Likewise, $Y_{TPm(s)}$ at 80 °C was 4.19 mg MvE g⁻¹dw,
307 which is lower than 7.76 mg g⁻¹dw of total anthocyanins found for UAE of RGP with 50% ethanol [27], yet
308 significantly higher than 1.86 mg g⁻¹dw achieved with microwave-assisted extraction of RGP with 50% methanol
309 [28], 1.87 mg g⁻¹dw with 57% ethanol [9], and 1.30 mg g⁻¹dw with a combination of hot-cold water [29]. Even
310 lower levels of 0.74 mg g⁻¹dw [13] and 0.70 mg g⁻¹dw [12] were obtained when 1.5 M HCl in ethanol and 66%
311 ethanol were employed as the extraction media, respectively, but the use of subcritical water/ethanol mixtures
312 afforded a comparable yield of 4.63 mg g⁻¹dw [24].

313 Regarding the kinetics of TP extraction, k was found to increase in response to raising the temperature,
314 reaching 0.029 min⁻¹ at 80 °C. This value is lower than 0.130 min⁻¹ reported for polyphenol extraction from grape
315 juice bagasse using 50% ethanol [30] and 0.088 min⁻¹ reported for UAE of polyphenols from apple peels at 40 °C
316 using water [31], but higher than 0.012 min⁻¹ found for water extraction of *Tilia* sapwood polyphenols at 80 °C
317 [32]. By contrast, TPm extraction was faster than TP at any temperature tested, achieving a k value of 0.083min⁻¹ at
318 80 °C. This is consistent with the outcome from previous studies on the extraction of anthocyanins from RGP with
319 50% ethanol, giving k values between 0.034 and 0.157 min⁻¹, within a temperature range of 25 – 60 °C [33].

320 In order to obtain quantitative data pertaining to the effect of temperature on the extraction rate, the
321 Arrhenius equation was used:

322

$$323 \quad k = k_0 e^{-\frac{E_a}{RT}} \quad (10)$$

324

325 Where k_0 is the temperature-independent factor (min^{-1}), R the universal gas constant ($8.314 \text{ J K}^{-1} \text{ mol}^{-1}$), T the
326 absolute temperature (K) and E_a the activation energy (J mol^{-1}). Transformation of eq. (10) allows obtaining a
327 linear relationship between the first-order extraction rate constant and the inverse of T :

328

$$329 \ln k = \ln k_0 + \left(-\frac{E_a}{R}\right) \frac{1}{T} \quad (11)$$

330

331 Therefore, E_a could be determined graphically, since the straight line obtained by plotting $\ln k$ as a function of $1/T$
332 would have a slope $= -\frac{E_a}{R}$.

333 The E_a determined for TP extraction was $13.94 \text{ kJ mol}^{-1}$. This value is almost 3 times higher than 4.6 kJ
334 mol^{-1} , determined for the UAE of TP from RGP [26], employing 50% ethanol and almost 2.2 times higher than 6.34
335 kJ mol^{-1} found for the UAE of orange peel polyphenols with 75% ethanol [34]. It should be emphasised that E_a
336 determined for the extraction of TP from various plant sources varied from 0.5 kJ mol^{-1} [21] to as high as 97.1 kJ
337 mol^{-1} [14], depending on the solvent system and the conditions used. In general, the sufficient amount of E_a for
338 polyphenol extraction lies from 14.54 [35] to $56.00 \text{ kJ mol}^{-1}$ [18]. By contrast, the E_a required for TPm extraction was
339 found to be 8.22 kJ mol^{-1} , a very low level compared with 76.7 kJ mol^{-1} reported for anthocyanin extraction from
340 milled berries, using 67% ethanol [36] and significantly lower than 29.5 kJ mol^{-1} estimated for anthocyanin
341 extraction from RGP, using 50% ethanol [33].

342 In both cases E_a were positive, which is in agreement with endothermic process. E_a may be associated with
343 both medium and matrix resistance, which the solute should overcome. If $E_a < 20 \text{ kJ mol}^{-1}$, then extraction is
344 managed by diffusion [26]. Ultrasounds can assist with extraction processes both through cell disruption and by
345 enhancing mass transfer in the boundary layer surrounding the solid matrix [37]. The relatively low E_a levels found
346 for both TP and TPm extraction indicated that ultrasonication is an effective means of assisting extraction, by
347 providing the appropriate energy dissipation for efficient mass transfer. The ultrasonic energy is thought to
348 accelerate the diffusional process by enhancing the solid particle permeability by the solvent, hence facilitating
349 polyphenol release [38]. It could also be argued that the lower energy barrier required to initiate diffusion is
350 provided by ultrasonic energy, which may contribute in overcoming solute - solute and solute - matrix interactions,
351 thus decreasing E_a of the extraction process.

352 To support this hypothesis, the effective diffusions (D_e) for both TP and TPm were also calculated (**Table**
353 **5**). As can be seen, TP extraction attained a D_e level of $4.22 \times 10^{-12} \text{ m}^2 \text{ s}^{-1}$, at 80 °C, which is higher than $0.14 -$
354 $1.57 \times 10^{-12} \text{ m}^2 \text{ s}^{-1}$ reported for extraction of lignans from flaxseed [18] and $1.05 \times 10^{-12} \text{ m}^2 \text{ s}^{-1}$ for polyphenols
355 extraction from RGP with 50% ethanol [39], but lower than $12.3 - 15.0 \times 10^{-12} \text{ m}^2 \text{ s}^{-1}$ achieved in the extraction of
356 polyphenols from RGP using 60% ethanol [40]. D_e as high as $123 \times 10^{-12} \text{ m}^2 \text{ s}^{-1}$ and $12 - 250 \times 10^{-12} \text{ m}^2 \text{ s}^{-1}$ were also
357 determined for polyphenol and anthocyanin extraction from milled berries with 67% ethanol, respectively [36].
358 Diffusion of TPm was faster at 80 °C, reaching $12.59 \times 10^{-12} \text{ m}^2 \text{ s}^{-1}$, which is in consistency with the above-
359 mentioned data.

360

361 Reducing power (P_R)

362

363 P_R is a reliable criterion of antioxidant activity and it has been demonstrated that there is a statistically significant
364 correlation between the amount of polyphenols and P_R , but also between P_R and radical scavenging for various
365 polyphenol-containing materials [2]. The determination of P_R following 60 min of extraction provided a clear
366 picture regarding the antioxidant activity of the extracts obtained (**Fig. 3**), which was found to increase by
367 approximately 2.4-times, upon increasing the extraction temperature from 50 to 80 °C. This is particularly
368 important, indicating that rising the extraction temperature up to 80 °C does not provoke any loss of antioxidants
369 and that the higher Y_{TP} is presumably accompanied by a proportional antioxidant effect. It should be stressed that
370 the proportionality between the polyphenolic content and the antioxidant activity is not a general principle, as
371 demonstrated by previous examinations [41 – 43]. Although higher polyphenol levels are usually accompanied by
372 higher P_R , the utilisation of increased extraction temperatures might compromise radical scavenging [35]. On the
373 other hand, the expression of antioxidant effects of a mixture should be interpreted with caution, because the lack
374 of proportionality might be a consequence of antagonism [44].

375

376 **Conclusions**

377 This study demonstrated for the first time that an extraction medium composed of 90% (w/v) aqueous glycerol can
378 efficiently extract polyphenols and pigments from red grape pomace, with the assistance of ultrasonication.
379 Extraction yield was found to increase in response to raising the temperature up to 80 °C, a phenomenon attributed

380 to increased diffusion. The relatively low activation energies for the extraction of total polyphenols and total
381 pigments were ascribed to the effect of ultrasounds, which were hypothesised to provide the appropriate dissipation
382 energy for such a process. The satisfactory extraction yields achieved were in concurrence to this theory. This is of
383 utmost importance, considering that glycerol is an inexpensive, abundant and non-toxic bio-material. Hence the
384 adoption of similar processes by the industries would be expected to form the basis for the development of green
385 procedures, aimed at the valorisation of food industry waste streams and the sustainable production of value-added
386 commodities, such as food additives, food supplements, pharmaceutical formulations and cosmetics.

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Table 1: Experimental values and coded levels of the independent variables used for the Box-Behnken experimental design.

Independent variables	Code units	Coded variable level		
		-1	0	1
$R_{L/S}$ (mL g ⁻¹)	X ₁	10	50	90
C_{gl} (% w/v)	X ₂	10	50	90

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Table 2: Measured and predicted Y_{TP} and Y_{TPm} values, determined for the individual points of the experimental

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design. Extractions were carried out under sonication (140 W, 37 kHz, 35 W L⁻¹), at 45 °C, for 60 min.

Design point	Independent variables		Responses			
	$R_{L/S}$ (X_1)	C_{gl} (X_2)	Y_{TP} (mg GAE g ⁻¹ dw)		Y_{TPm} (mg MvE g ⁻¹ dw)	
			Measured	Predicted	Measured	Predicted
1	10 (-1)	10 (-1)	2.25	2.20	0.06	0.04
2	10 (-1)	90 (1)	8.09	8.30	0.48	0.51
3	90 (1)	10 (-1)	4.32	4.06	0.12	0.13
4	90 (1)	90 (1)	11.85	11.84	0.84	0.90
5	10 (-1)	50 (0)	4.95	4.79	0.18	0.17
6	90 (1)	50 (0)	7.22	7.49	0.49	0.41
7	50 (0)	10 (-1)	3.43	3.73	0.21	0.22
8	50 (0)	90 (1)	10.86	10.66	0.94	0.84
9	50 (0)	50 (0)	7.25	6.74	0.43	0.42
10	50 (0)	50 (0)	6.32	6.74	0.33	0.42

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Table 3: Equations (mathematical models) and statistical parameters describing the effect of the independent variables ($R_{L/S}$ and C_{gl}) on the extraction of polyphenols and pigments, calculated after implementation of a Box-Behnken experimental design.

Response variables	Polynomial equations	R²	p
Y_{TP} (mg GAE g ⁻¹ dw)	$0.710 + 0.034R_{L/S} + 0.087C_{gl}$	0.99	0.0003
Y_{TPm} (mg MvE g ⁻¹ dw)	$-0.118 + 0.003R_{L/S} + 0.078C_{gl}$	0.96	0.0066

Table 4: Optimal predicted conditions and maximal predicted values for the extraction of polyphenols and pigments from RGP. Extractions were carried out under sonication (140 W, 37 kHz, 35 W L⁻¹), at 45 °C, for 60 min.

Response	Maximal predicted value	Optimal conditions	
		C _{gl} (w/v, %)	R _{L/S} (mL g ⁻¹)
Y _{TP} (mg GAE g ⁻¹ dw)	11.84±1.09	90	90
Y _{TPm} (mgMvE g ⁻¹ dw)	0.91±0.09	90	79

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Table 5: Kinetic parameters determined for the extraction of polyphenols and pigments from RGP, using 90% (w/v) aqueous glycerol. Extractions were carried out at $R_{L/S} = 90 \text{ mL g}^{-1}$, under sonication (140 W, 37 kHz, 35 W L⁻¹).

Kinetic parameters	Temperature (°C)		
	50	60	80
<i>Total polyphenols</i>			
$k \text{ (min}^{-1}\text{)}$	0.019	0.021	0.029
$D_e \text{ (m}^2\text{s}^{-1}\text{)} \times 10^{-12}$	2.73	3.07	4.22
$Y_{TP(s)} \text{ (mg GAE g}^{-1}\text{)}$	25.36	29.25	66.70
<i>Total pigments</i>			
$k \text{ (min}^{-1}\text{)}$	0.063	0.078	0.083
$D_e \text{ (m}^2\text{s}^{-1}\text{)} \times 10^{-12}$	9.59	11.89	12.59
$Y_{TPm(s)} \text{ (mg MvE g}^{-1}\text{)}$	3.68	3.86	4.19

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FIGURE CAPTIONS

Fig. 1: Left: Contour plots illustrating the effect of simultaneous variation of $R_{L/S}$ and C_{gl} on the Y_{TP} (upper plot) and Y_{TPm} (lower plot). Values in the frames are expressed in mg GAE g^{-1} dw and mg MvE g^{-1} dw, for Y_{TP} and Y_{TPm} , respectively. Right: Prediction profiler displaying the overall desirability of the model, after fixing $R_{L/S} = 90$ mL g^{-1} and $C_{gl} = 90\%$ (w/v). Extractions of RGP were carried out under sonication (140 W, 37 kHz, 35 W L^{-1}), at 45 °C, for 60 min.

Fig. 2: Non-linear regression between Y and t values during extraction of TP (upper plot) and TPm (lower plot) from RGP; $R_{L/S} = 90$ mL g^{-1} and $C_{gl} = 90\%$ (w/v). Extractions were carried out under sonication (140 W, 37 kHz, 35 W L^{-1}).

Fig. 3: P_R evolution of RGP extracts, upon increasing extraction temperature. Values reported were determined in extracts obtained with $R_{L/S} = 90$ mL g^{-1} and $C_{gl} = 90\%$ (w/v), under sonication (140 W, 37 kHz, 35 W L^{-1}), after 60 min.

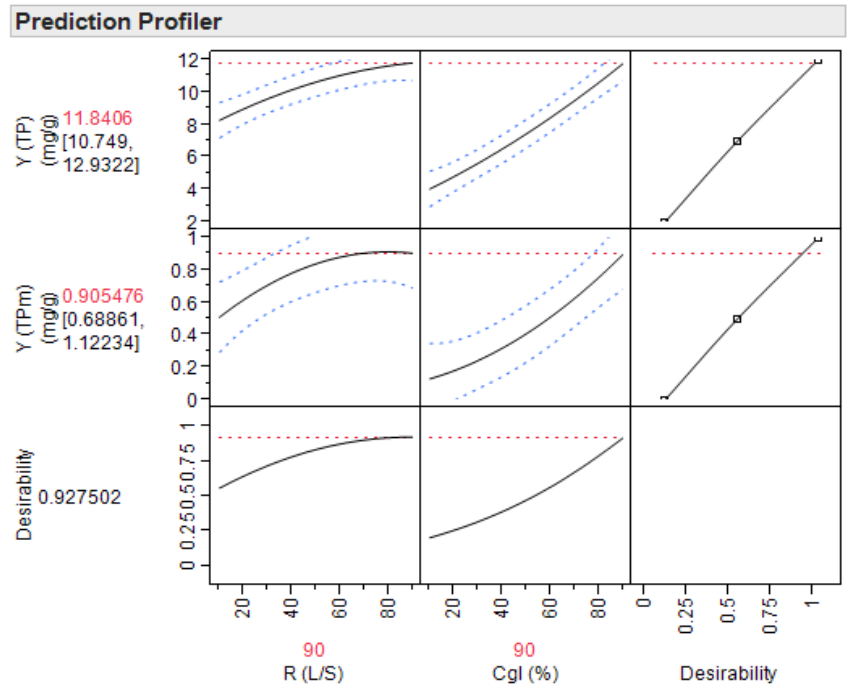
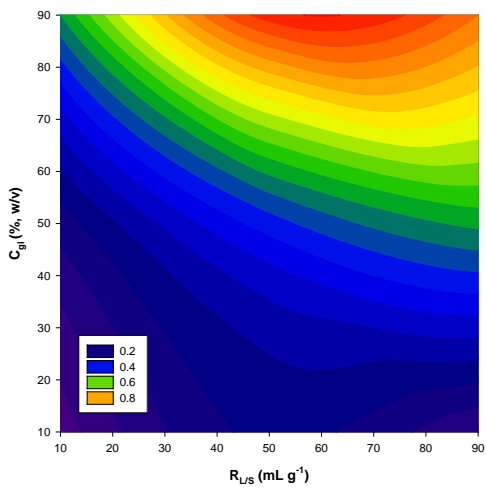
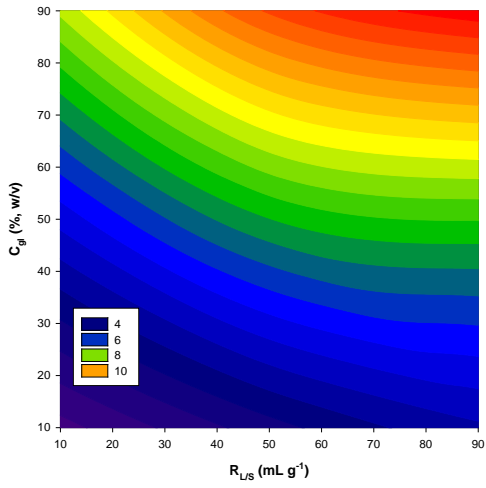
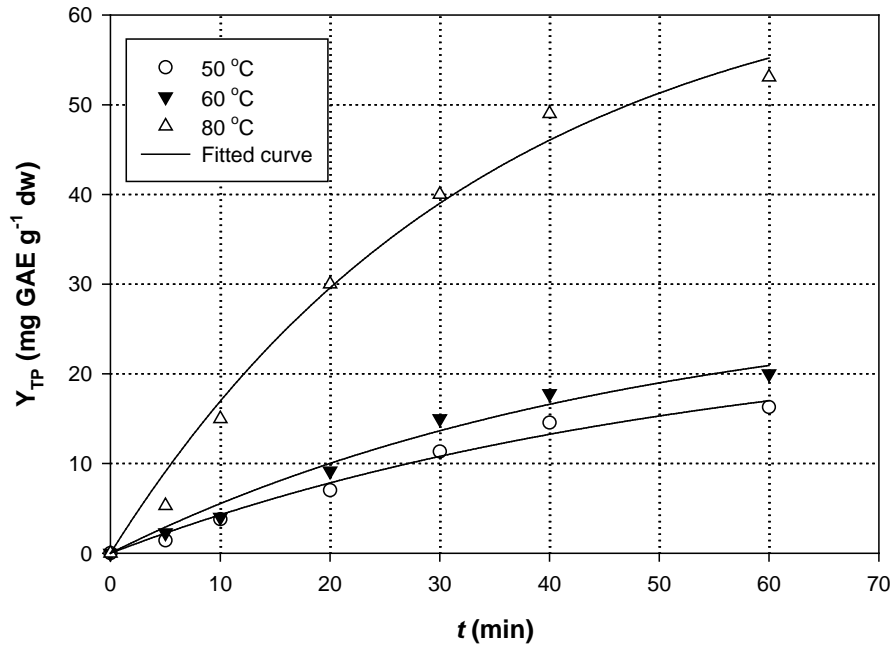
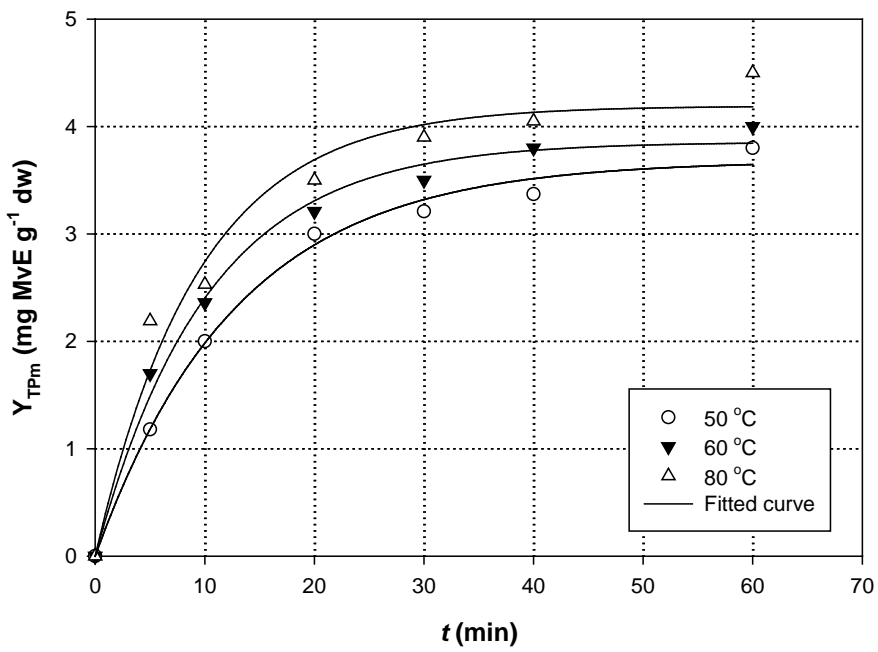


Fig. 1



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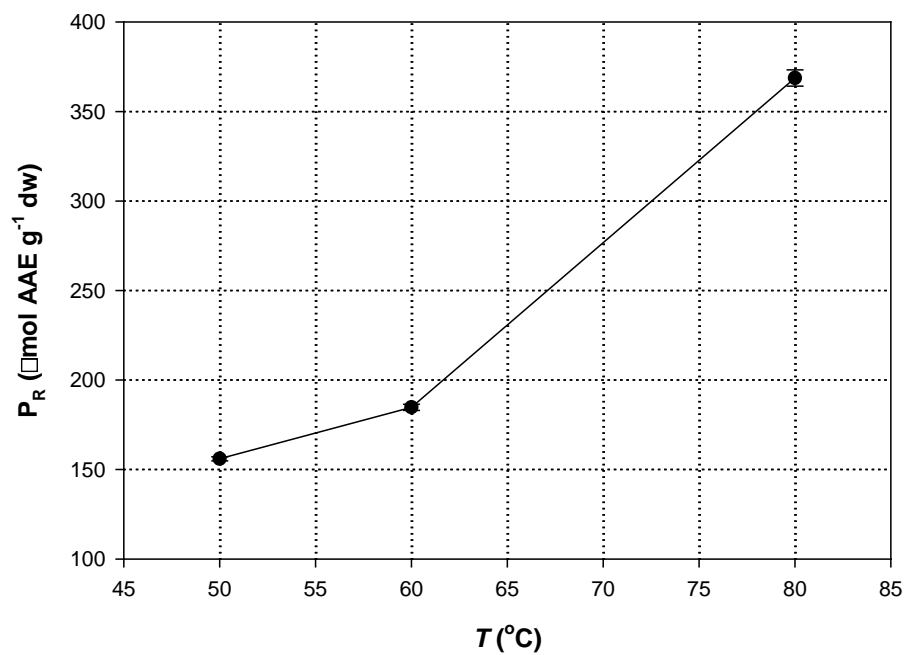
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Fig. 2

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Fig. 3

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