

1 **Method for indication of municipal waste combustion in households by ash analysis**

2 Jiří Horák, Lenka Kuboňová*, Milan Dej, Stanislav Bajer, František Hopan, Jiří Kremer,

3 Kamil Krpec, Oleksandr Mochlanov, Jiří Ryšavý, Martin Garba

4 VSB-Technical University of Ostrava, Energy and Environmental Technology Centre, Energy

5 Research Centre, 17. listopadu 2172/15, 708 00 Ostrava – Poruba, Czech Republic

6

7 *corresponding author: lenka.kubonova@vsb.cz, +420597324905

8

9 **Abstract**

10 The practice of co-combustion of municipal waste in domestic small-scale combustion units is
11 not only a problem of the Czech Republic. One way to prove that waste was burned in the
12 domestic combustion unit is to analyze residues after incineration - ash. The aim of this study
13 was to propose the method for indication of municipal waste combustion in households by ash
14 analysis. 73 samples of ash were obtained from the combustion of different solid fuels (such
15 as spruce wood, beech wood, wood pellets, lignite, lignite briquettes, black coal and Flot coal
16 slurries), their mixtures with municipal waste (floor coverings, paper, PET briquettes, plastics
17 and textile) and municipal wood waste (furniture chipboard and window frames) in household
18 combustion units. The limit values of the parameters were determined for metals Sb, Cu, Pb,
19 Sn, Zn, Ti and chlorides in our previous study (Horák et al. 2019). The method called
20 Semafor (English translation: traffic light) is based on three criteria: i) proof of municipal
21 waste combustion (proven), ii) combustion of municipal waste cannot be proven or excluded
22 (suspected) and iii) no proof of municipal waste combustion (not proven). The aim of further
23 study is to confirm the application of proposed method and to extend the available analyses in
24 case of not unequivocally proved combustion of municipal waste such as plastics.

25

26 **Keywords:** solid fuel; municipal waste; combustion; ash; households

27

28 **Introduction**

29 To author's knowledge, there are currently several methodologies for identifying waste
30 combustion in domestic combustion units:

31 **Swiss method (Umweltfachstellen 2015)**

32 This methodology is proposed for proving the incineration of foreign admixtures with wood.
33 The sampled ash is first visually inspected in the laboratory by separating through a sieve,
34 finding "suspicious" residues, such as nails, screws, plastics, or aluminum foil, which is the
35 reason for filling a complaint, and the sample is no longer analyzed analytically. Visually
36 unobjectionable samples are judged from the point of view of the concentration of the
37 elements: chromium, copper, lead, zinc and chlorine. From these five elements (Table 1), the
38 percentage of the assessed value is calculated and added to the sum of the parameter. If the
39 sum of the percentages of all elements is more than 500% and at least two elements lie above
40 the assessed value, the ash sample is found to be contaminated by municipal waste.

41 Table 1. Limit values of indicators (mg/kg_{dry matter}) published in (Umweltfachstellen 2015).

Limit values of indicators [mg/kg_{dry matter}]	Cr	Cu	Pb	Zn	Cl
EMPA 2001 (Swiss method)	100	150	100	600	2,000
ZUDK 2008 (Swiss method)	150	400	100	800	2,000
ZUDK 2010 (Swiss method)	150	600	100	1,500	2,000

42

44 **German standard (VDI 2016)**

45 The content of firebox and firebox ash shall be visually inspected for the presence of foreign
46 matter such as metallic residues (e.g. nails, screws) or plastics, textile, cardboard and paper
47 scraps. If there are indications of unallowable fuels being used, this can be inspected by
48 analyzing a composite sample of firebox residues. The reference values of heavy metals such
49 as As, Pb, Cd, Cr, Cu, Ni, Zn, Cl and Ti are given in Table 2. They may be used for the

50 further assessment in the case of installations exclusively authorized for the operation of
51 untreated wood.

52 Table 2. Reference values for pollutant concentrations of ash from the combustion of
53 untreated wood in small firing installations (mg/kg_{dry matter}) published in the standard (VDI
54 2016).

Reference values for ash pollutant concentrations in mg/kg								
arsenic (As)	lead (Pb)	cadmium (Cd)	chromium (Cr)	copper (Cu)	nickel (Ni)	zinc (Zn)	chlorine (Cl)	titanium (Ti)
30	200	10	400	600	200	2000	2000	1000

55

56

57 **ICHPW method (ICHPW 2017)**

58 It is a methodology of the IChPW Research Institute (Instytut Chemicznej Przeróbki Węgla),
59 Zabrze, Poland. The ash is judged by the concentration of majority element oxides by a
60 procedure that is non-public and copyrighted, but software prepared based on this method was
61 transferred to the self-government units of the Silesian Voivodeship. The result of the
62 evaluation is that i) waste incineration was excluded ("OK" designation), ii) waste
63 incineration was confirmed ("NOK") or iii) waste incineration was not confirmed
64 ("Confirm"). Due to the protected evaluation process, no limit values are available.

65

66 **Method of the University of Krakow (Politechnika Krakowska im. Tadeusza** 67 **Kościuszki), Poland**

68 In the ash, Zn, Pb, Hg, Sb, Sn, Cu, Cr, Ni, Cd, organically bound nitrogen, phenols and
69 visually distinguishable plastics are analyzed and evaluated (Dragon 2015). The approach of
70 this method is not known by us as it is non-public.

71

72 New legislation has been adopted in the Czech Republic (369/2016 2016) in which is written
73 that the combustion of municipal waste in small-scale combustion units in households is
74 forbidden. This paper refers to the method for indication of municipal waste combustion in
75 households by ash analysis published as Communication of the Ministry of the Environment
76 of the Czech Republic (MoE 2018). The aim of our study was to elaborate the method for
77 determination of municipal waste combustion by ash analysis and to compare this method
78 with existing and published methods. The tool was the reference values of ash from the
79 combustion of solid fuels (biomass, lignite, black coal and unspecified fuel).

80

81 **Materials and methods**

82 The detail description of used materials (solid fuels and municipal waste) and methods
83 (combustion tests, methods of ash samples analysis) was published by Horák et al. (Horák et
84 al. 2019). The description of 73 tests from the combustion tests performed in the Energy
85 Research Center, Ostrava, Czech Republic and selected heavy metals Sb, Cu, Pb, Sn, Zn, Ti
86 and chlorides are described in Table S1. The parameters were chosen according to analytical
87 results and statistical evaluation summarized by Horák et al. (Horák et al. 2019).

88

89 **Results and discussion**

90 *Assessment methods of ash analysis for the identification of waste incineration in domestic* 91 *heating devices*

92 The results of the analysis of selected ash samples from the combustion tests were evaluated
93 by the methods described in Introduction and it was proved that the use of these methods is
94 very limited for the conditions of the Czech Republic. The question of the applicability of the
95 IChPW method, which needs to be validated for lignite from the Czech Republic, remains

96 open. These methods inspired the development of the final methodology for the indication of
97 waste combustion by ash analysis.

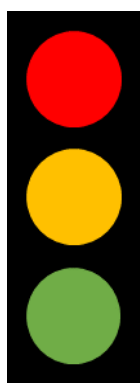
98 The Swiss methodology was applied for 40 ash samples (Table S2). The methodology did not
99 prove the identification of combustion of paper, plastics, PET briquettes and partly furniture
100 chipboard.

101 German standard (VDI, 2016) does not show the methodology used for the evaluation of
102 municipal waste combustion except of reference values for elements (Table 2). However, it
103 can be expected that if the reference values are not exceeded, the municipal waste combustion
104 was not proven (Table S3). The combustion of paper + dry beech and PET briquettes + dry
105 beech was not proven.

106

107 ***Proposal of waste incineration methodology by analysis of ash sample collected at the***
108 ***operator***

109 On the basis of the evaluation of the results, ash is indicated as: 1) ash from combustion of
110 municipal waste, 2) suspicious ash, 3) ash, which failed to prove the combustion of municipal
111 waste (which does not mean that the municipal waste was not burned, method did not prove
112 it).



Proof of municipal waste combustion = Proven

Combustion of municipal waste can not be proven or excluded
= Suspicious

No proof of municipal waste combustion = Not proven

113

114 Fig. 1. The description of the applied method Semafor.

115 The assessment is made according to the type of declared fuel for 6 groups:

116 • biomass (e.g. wood, wood briquettes, wood pellets)

117 • lignite and lignite briquettes

118 • black coal

119 • lignite + biomass

120 • black coal + biomass

121 • unspecified fuel

122

123 *Limit values of indicators*

124 Limit values of indicators were set for each group of solid fuel. The calculation of the limit
125 values was based on the analysis of ash from the combustion of solid fuels. The limit values
126 of the indicators were determined for metals Sb, Cu, Pb, Sn, Zn, Ti and chlorides. It is
127 assumed that for the indication of waste incineration it will be required to determine the
128 concentration of Sb, Cu, Pb, Sn, Zn, and Cl⁻ in the ash sample always, whereas the
129 determination of the "Ti" concentration will be required only in case of combustion of
130 biomass.

131 The limit values of the individual monitored indicators were calculated from the maximum
132 concentration of the particular substance in the results. Unusually, high concentrations
133 (suspicious maxima) were excluded from the calculation of the limit value or were left out in
134 the calculation on the basis of the examination (e.g. repeated analysis or assessment of
135 possible contamination of the ash sample). The maximum concentration value of the relevant
136 indicator was then increased by 30% (assumed maximum uncertainty of the analysis in the
137 test laboratory) and rounded to the next highest divisible number of 5 for better clarity. This
138 value is marked as the limit. This procedure was performed for each indicator.

139 The maximum values of the individual indicators are shown in graphs, which are presented
140 separately for each group of declared fuels: biomass – Fig. S1, lignite and lignite briquettes –
141 Fig. S2, black coal– Fig. S3.

142 The resulting limit values of the individual indicators of the Semafor method are shown in
 143 Table 4.

144 Table 4. Limit values of indicators of the Semafor method (MoE 2018).

Limit values of indicators [mg/kg _{dry matter}]	Sb	Cu	Pb	Sn	Ti	Zn	Cl
Semafor (biomass)	10	390	55	10	1,835	3,070	1,690
Semafor (lignite)	5	300	35	5	x	375	2,015
Semafor (black coal)	10	130	75	10	x	145	1,690
Semafor (lignite + biomass)	10	390	55	10	x	3,070	2,015
Semafor (black coal + biomass)	10	390	75	10	x	3,070	1,690
Semafor (unspecified fuel)	10	390	75	10	x	3,070	2,015

145

146

147 Calculation of exceedance index according to the equation:

$$148 \text{ exceedance index}[\%] = \frac{\text{measured concentration of the indicator} \left[\frac{\text{mg}}{\text{kg}_{\text{dry matter}}} \right]}{\text{limit value of the indicator} \left[\frac{\text{mg}}{\text{kg}_{\text{dry matter}}} \right]} \cdot 100$$

149 Criteria of assessment of particular indicator:

- 150 • Allowed value – exceedance index of the indicator is lower or equal to 100%
- 151 • Significantly exceeded value – exceedance index of the indicator is higher than 200%
- 152 • Suspicious value – exceedance index of the indicator is higher than 100% and lower or
 153 equal to 200%.

154 Criteria of assessment of ash samples:

155 RED = Proof of municipal waste combustion

- 156 • Two or more significantly exceeded value (minimally two exceedance indexes are
 157 higher than 200%)

158 ORANGE = Combustion of municipal waste cannot be proven or excluded

- 159 • Two or more suspicious values (minimally two exceedance indexes are higher than
 160 100% and lower or equal to 200%) or one significantly exceeded value (one
 161 exceedance index is higher than 200%)

162 GREEN = No proof of municipal waste combustion

- 163 • All allowed value (all exceedance indexes are lower or equal to 100%) or maximally
 164 one suspicious value (one exceedance index is higher than 100% and lower or equal to
 165 200%).

166 The proposed method was used to evaluate the ashes from the combustion of municipal waste
 167 by the Semafor method, Tables 5 (28 samples) and Table S4 (73 samples).

168

169 Table 5. The evaluation of identification of municipal waste combustion by Semafor method.

Sample	Fuel	Semafor method
1	furniture chipboard	proven
3	textile + B1	proven
5	textile + L2	proven
8	window frames	proven
9	floor covering + B1	proven
10	textile + dry beech	proven
11	floor covering + L1	proven
12	floor covering + dry beech	proven
13	paper + B1	not proven
14	paper + dry beech	suspicious
15	paper + L2	not proven
16	PET briquettes + dry beech	suspicious
17	PET briquettes + L2	suspicious
18	plastics + dry beech	proven
19	plastics + B1	suspicious
20	plastics + dry beech	proven
21	plastics + L2	suspicious
22	paper + dry beech	not proven
23	plastics + dry beech	suspicious
24	furniture chipboard	proven
25	PET briquettes + dry beech	suspicious
26	window frames	proven
29	floor covering + dry beech	proven
31	paper + dry beech	not proven
33	textile + dry beech	proven
35	window frames	proven
46	furniture chipboard	suspicious
73	furniture chipboard	proven

170

171 The application of the method Semafor showed that red was 16 samples, orange was 8
172 samples and green was 4 samples (Table 6).

173

174 Table 6. The summary of applied Semafor method.

	green	orange	red
floor coverings	0	0	4
furniture chipboard	0	1	3
paper	4	1	0
PET briquettes	0	3	0
plastics	0	3	2
textile	0	0	4
window frames	0	0	3

175

176

177 The proposed method could:

- 178 • clearly prove the combustion of floor coverings, textiles and window frames,
- 179 • very successfully prove the combustion of furniture chipboard,
- 180 • indicate the combustion of PET briquettes and plastics,
- 181 • not prove the combustion of paper.

182

183 The comparison of Semafor method, Swiss method and German standard is shown in Table
184 S5. Swiss method and German standard were evaluated only for municipal waste combusted
185 with wood. From the results, it can be concluded: i) Semafor and Swiss methodologies clearly
186 prove the combustion of floor coverings, textiles and window frames, ii) the combustion of
187 furniture chipboard were successfully proven by Semafor and indicated by Swiss method (2
188 combustions were proven, 2 combustions were not proven); iii) the combustion of PET
189 briquettes and plastics were indicated by Semafor method, not proven by Swiss method and
190 German standard (herein, only PET briquettes evaluated); iv) the combustion of paper was not
191 proven by any of applied methods. To prove the combustion of PET briquettes and plastics

192 and paper, more combustion tests with the specification of the Semafor method will be the
193 aim of further study.

194 The Semafor method was applied for solid fuels for which the method did not prove the
195 combustion of contaminated fuels (Table S4).

196

197 **Conclusions**

198 Total number of 73 ash samples were analyzed within the research on the identification of
199 combustion of unsuitable fuels in household boilers and stoves. There were 45 ash samples of
200 solid fuels and 28 ash samples of municipal waste. Samples of ash were obtained from the
201 combustion of seven solid fuels, their mixtures with municipal waste (five) and municipal
202 wood waste (two) in household combustion units. Limit values of seven indicators (Sb, Cu,
203 Pb, Sn, Zn, Ti and Cl⁻) were set for each group of solid fuel (biomass, lignite, black coal and
204 unspecified fuel). The new created method called Semafor was applied for 73 combustion
205 tests and compared with other methodologies. The Semafor method was applied for solid
206 fuels for which the method did not prove the combustion of contaminated fuels. Sixteen ash
207 samples from the combustion of municipal waste were proved, eight ash samples were
208 suspicious and four ash samples were not proved. The proposed Semafor method could: i)
209 clearly prove the combustion of floor coverings, textiles and window frames; ii) very
210 successfully prove the combustion of furniture chipboard; iii) indicate the combustion of PET
211 briquettes and plastics; and iv) not prove the combustion of paper.

212

213 **Declarations**

214 Ethics approval and consent to participate: Not applicable.

215 Consent for publication: Not applicable.

216 Availability of data and materials: All analysed data during this study are included in this
217 published article and its supplementary information files.

218 Competing interests: The authors declare that they have no competing interests.

219 Funding: The sources of funding for this research are declared in Acknowledgment.

220 Authors' contributions: JH: Conceptualization, Supervision, Funding acquisition, LK: Writing
221 – Original Draft, Visualization, MD: Methodology, Project administration, SB: Validation,
222 Investigation, FH: Writing – Original Draft, Formal analysis, JK: Validation, Investigation,
223 KK: Methodology, JR: Formal analysis, OM: Visualization, MG: Resources.

224

225 **Acknowledgement**

226 This study was supported by the ERDF/ESF project “Research on the identification of
227 combustion of unsuitable fuels and systems of self-diagnostics of boilers combustion solid
228 fuels for domestic heating” (No. CZ.02.1.01/0.0/0.0/18_069/0010049).

229

230 **References**

- 231 369/2016 (2016) Act on air protection, Act No. 369/2016. Parliament of the Czech Republic
232 Dragon T (2015) System kontroli godsodarstw domowych w Polsce na przykladzie miasta
233 Wodzisławia Śląskiego, kontrola palenisk domowych (translation to English:
234 Household control system in Poland on the example of town Wodzisław Śląski, home
235 furnace control), 9th meeting of the Czech–Polish Air Protection Group, Olomouc,
236 Czech Republic
237 Horák J, Kuboňová L, Bajer S, Dej M, Hopan F, Krpec K, Ochodek T (2019) Composition of
238 ashes from the combustion of solid fuels and municipal waste in households. *Journal*
239 *of Environmental Management* 248:109269
240 IChPW (2017) Metodyka wykrywania nielegalnego spalania i współspalania odpadów w
241 indywidualnych urządzeniach grzewczych. Województwo Śląskie. Instytut chemicznej
242 przerobki węgla. <https://powietrze.slaskie.pl/content/dokumenty-opracowane-razem-z-aktualizacja-programu-ochrony-powietrza>. Accessed 15 February 2019
243
244 MoE (2018) Statement by the Ministry of the Environment of the Czech Republic,
245 Department of Air Protection to operation and control of combustion stationary
246 sources with the nominal thermal input of 300 kW and below. Ministry of the
247 Environment of the Czech Republic, Prague.
248 Umweltfachstellen 2015 Kontrolle von kleinen Holzfeuerungen (<70 kW). Vollzugsleitfaden
249 der Zentralschweizer Kantone.

250 VDI (2016): Emission measurements at small firing installations: Measurements at
251 installations for solid fuel, VDI 4207
252